

ALGEBRAIC LATTICES ARE COMPLETE SUBLATTICES OF THE CLONE LATTICE OVER AN INFINITE SET

MICHAEL PINSKER

ABSTRACT. The clone lattice $\text{Cl}(X)$ over an infinite set X is a complete algebraic lattice with $2^{|X|}$ compact elements. We show that every algebraic lattice with at most $2^{|X|}$ compact elements is a complete sublattice of $\text{Cl}(X)$.

1. HOW COMPLICATED IS THE CLONE LATTICE?

Fix a base set X and denote for all $n \geq 1$ the set X^{X^n} of all n -ary operations on X by $\mathcal{O}^{(n)}$. Then $\mathcal{O} = \bigcup_{n \geq 1} \mathcal{O}^{(n)}$ is the set of all functions on X which have finite arity. A set of finitary functions $\mathcal{C} \subseteq \mathcal{O}$ is called a *clone* iff it is closed under composition and contains all projections, i.e. for all $1 \leq i \leq n$ the function π_i^n satisfying $\pi_i^n(x_1, \dots, x_n) = x_i$. The set of all clones over X forms a complete algebraic lattice $\text{Cl}(X)$ with respect to inclusion. This lattice is countably infinite and completely known if $|X| = 2$ by a result of Post's [Pos41]; however, describing the clone lattice completely for larger X is believed impossible.

Several known results suggest this: To begin with, $\text{Cl}(X)$ is large; it is of size continuum if X is finite and has at least three elements, and $|\text{Cl}(X)| = 2^{2^{|X|}}$ if X is infinite. Then, the clone lattice does not satisfy any non-trivial lattice identity if $|X| \geq 3$ [Bul93]; it does not satisfy any quasi-identity if $|X| \geq 4$ [Bul94]. Also, if $|X| \geq 4$, then every countable product of finite lattices is a sublattice of $\text{Cl}(X)$ [Bul94]. As for examples on infinite X , every completely distributive lattice having not more than $2^{|X|}$ compact elements is a subinterval of a monoidal interval of $\text{Cl}(X)$ [Pin] (a *monoidal interval* being an interval of clones which have the same unary functions). Moreover, specific complicated parts of $\text{Cl}(X)$ have been exposed, such as an interval which is isomorphic to the lattice of all filters on X in [GSa]. There exist several examples of parts of $\text{Cl}(X)$ that are still “well-behaved” for finite X , but which seem to be hopelessly complicated for infinite X : The interval above $\mathcal{O}^{(1)}$ is a finite chain for finite X [Bur67] but huge and extremely complex for infinite X ([GS02] and [GSb]), and whereas $\text{Cl}(X)$

2000 *Mathematics Subject Classification*. Primary 08A40; secondary 08A05.

Key words and phrases. clone lattice, complete sublattice, algebraic lattice.

The author is grateful for support through project P17812 of the Austrian Science Fund.

is dually atomic with a finite number of dual atoms which are all known if X is finite [Ros70], it is not dually atomic on countably infinite X if the continuum hypothesis holds [GS05], and there exist as many dual atoms as there are clones on all infinite X [Ros76]. A recent survey of clones on infinite sets is [GP].

We are interested in which lattices can be embedded into the clone lattice over an infinite set. Assume henceforth X to be infinite. The compact elements of $\text{Cl}(X)$ are easily seen to be exactly the clones which are generated by a finite number of functions. Since $|\mathcal{O}| = 2^{|X|}$, this implies that $\text{Cl}(X)$ has at most $2^{|X|}$ compact elements, and it is readily verified that the compact elements really amount to this number. We are going to prove that $\text{Cl}(X)$ is in some sense the most complicated algebraic lattice with this property.

Theorem 1. *Let X be infinite. Then every algebraic lattice with at most $2^{|X|}$ compact elements can be completely embedded into $\text{Cl}(X)$.*

We remark that the corresponding statement does not hold on finite X : There, $\text{Cl}(X)$ has countably infinitely many compact (finitely generated) elements, but as has been proven in [Bul01], the countably infinite lattice M_ω (consisting of a countably infinite antichain plus a smallest and a largest element) does not embed into the clone lattice over any finite set. Observe also that our result implies that the clone lattice on infinite X does not satisfy any non-trivial properties such as the infinite quasi-identity exposed in [Bul01] which holds for $\text{Cl}(X)$ if X is finite.

1.1. Notation. We denote the unary projection π_1^1 by the somewhat simpler symbol id , and use \mathcal{J} for the set of projections on X . If $\mathcal{F} \subseteq \mathcal{O}$, then we write $\langle \mathcal{F} \rangle$ for the clone generated by \mathcal{F} . Three lattices will appear in the proof, the clone lattice $\text{Cl}(X)$, the lattice \mathfrak{L} to be embedded into the clone lattice, and the lattice of join-semilattice ideals of compact elements of \mathfrak{L} : For all of them, we use the symbols $\wedge, \vee, \bigwedge, \bigvee$ with their standard meanings, and confusion shall be carefully avoided. If $\Phi \subseteq \mathcal{O}^{(1)}$ is a set of unary operations, then Φ^* will stand for all those functions which arise from functions of Φ by the addition of any finite number of dummy variables. Such functions will remain *essentially unary*, i.e. although possibly non-unary they depend on only one variable, as opposed to *essentially at least binary* functions, which are functions that depend on at least two of their variables.

2. PROOF OF THE MAIN THEOREM

Let \mathfrak{L} be the lattice to be embedded into $\text{Cl}(X)$ and denote by \mathfrak{P} the set of all compact elements of \mathfrak{L} . Then \mathfrak{P} is a join-semilattice (cf. the textbook [Grä78]). By an *ideal* $I \subseteq \mathfrak{P}$ we mean a lower subset of \mathfrak{P} closed under (finite) joins. The set of all ideals of \mathfrak{P} is a complete algebraic lattice, and in fact

Fact 2. \mathfrak{L} is isomorphic to the lattice of ideals of \mathfrak{P} .

We are going to assign a clone \mathcal{C}_I to every ideal $I \subseteq \mathfrak{P}$ in such a way that the resulting mapping is a complete embedding of \mathfrak{L} into $\text{Cl}(X)$. Fix four elements $0, 1, 2, 4 \in X$ and set $A = X \setminus \{0, 1, 2, 4\}$. Let $\mathcal{A} = (A_p)_{p \in \mathfrak{P}}$ be a family of subsets of A indexed by the elements of \mathfrak{P} with the following property: Whenever $A_p, A_{q_1}, \dots, A_{q_k} \in \mathcal{A}$ and $p \neq q_i$ for all $1 \leq i \leq k$, then $A_p \not\subseteq A_{q_1} \cup \dots \cup A_{q_k}$. Such a family exists: For example, there exist *independent* families of size $2^{|X|}$, where a family \mathcal{F} of subsets of A is called independent iff for all finite disjoint $\mathcal{F}_1, \mathcal{F}_2 \subseteq \mathcal{F}$

$$\bigcap \{F : F \in \mathcal{F}_1\} \cap \bigcap \{A \setminus F : F \in \mathcal{F}_2\} \neq \emptyset.$$

See the textbook [Jec03, Lemma 7.7]. If $|X| = \aleph_0$, then one could also take \mathcal{A} to be *almost disjoint*, meaning that all members of \mathcal{A} are infinite and the intersection of any two distinct sets from \mathcal{A} is finite (cf. [Jec03, Lemma 9.21]).

Define for all $p \in \mathfrak{P}$ a unary function $\phi_p \in \mathcal{O}^{(1)}$ by

$$\phi_p(x) = \begin{cases} 0 & , x \in A \setminus A_p \\ 1 & , x \in A_p \\ 2 & , x = 2 \\ 4 & , x \in \{0, 1, 4\}; \end{cases}$$

so on A , ϕ_p is the characteristic function of A_p . Set $\Phi = \{\phi_p : p \in \mathfrak{P}\}$. Now define for all $p, q_1, q_2 \in \mathfrak{P}$ with $p \leq q_1 \vee q_2$ a ternary function $m_p^{q_1, q_2}$ by

$$m_p^{q_1, q_2}(x, y, z) = \begin{cases} \phi_p(x) & , y = \phi_{q_1}(x) \wedge z = \phi_{q_2}(x) \\ 2 & , (x = 2 \vee y = 2 \vee z = 2) \wedge (y \notin \{1, 4\}) \wedge (z \notin \{1, 4\}) \\ 4 & , \text{otherwise.} \end{cases}$$

The function is well-defined: We only have to check that there is no conflict between the conditions for $m_p^{q_1, q_2}(x, y, z)$ to yield $\phi_p(x)$ and 2, respectively. If both conditions are satisfied, then one of the components of the tuple (x, y, z) equals 2; since $y = \phi_{q_1}(x)$ and $z = \phi_{q_2}(x)$, this implies $x = y = z = 2$, making the function value $m_p^{q_1, q_2}(x, y, z) = 2 = \phi_p(x)$ unique.

We write $\mathcal{M} = \{m_p^{q_1, q_2} : p, q_1, q_2 \in \mathfrak{P} \wedge p \leq q_1 \vee q_2\}$ and $\mathcal{C} = \langle \Phi \cup \mathcal{M} \rangle$. The clones \mathcal{C}_I will all be subclones of \mathcal{C} and will all contain \mathcal{M} . They will essentially consist of those ϕ_p for which $p \in I$, plus the functions from \mathcal{M} ; the exact definition can only be given later. One can think of the ϕ_p as functions that represent the elements of \mathfrak{P} in such a way that they are in some sense “independent” of each other, and of the $m_p^{q_1, q_2}$ as functions representing the order of \mathfrak{P} , since $m_p^{q_1, q_2}(\text{id}, \phi_{q_1}, \phi_{q_2}) = \phi_p$ and since $m_p^{q_1, q_2}$ is defined only if $p \leq q_1 \vee q_2$. The following lemma follows easily by induction over terms in \mathcal{C} .

Lemma 3. *The only functions in \mathcal{C} which take values in A are the projections.*

Definition 4. We call a function $f \in \mathcal{O}^{(1)}$ *distracted* iff there exists $a \in A$ such that $f(a) \in \{2, 4\}$.

Lemma 5. *Let $t \in \mathcal{C}^{(n)}$ and $t_1, \dots, t_n \in \mathcal{O}^{(1)}$. If t depends on its i -th variable, where $1 \leq i \leq n$, and if t_i is distracted, then $t(t_1, \dots, t_n)$ is distracted.*

Proof. We use induction over terms in \mathcal{C} . To start with, let $t \in \mathcal{J} \cup \Phi \cup \mathcal{M}$. There is nothing to show if t is a projection. If $t \in \Phi$ and $t_1 \in \mathcal{O}^{(1)}$ is distracted, then there exists $a \in A$ such that $t_1(a) \in \{2, 4\}$, so $t(t_1(a)) \in \{2, 4\}$ and $t(t_1)$ is distracted. If $t = m_p^{q_1, q_2} \in \mathcal{M}$ and t_i is distracted for some $i \in \{1, 2, 3\}$, then $t_i(a) \in \{2, 4\}$ for some $a \in A$ implies that $m_p^{q_1, q_2}(t_1, t_2, t_3)(a) \in \{2, 4\}$: Indeed, if $m_p^{q_1, q_2}(t_1, t_2, t_3)(a) \in \{0, 1\}$, then the definition of $m_p^{q_1, q_2}$ would allow us to conclude $t_1(a) \in A$ and $t_2(a) = \phi_{q_1}(t_1(a)) \in \{0, 1\}$ and $t_3(a) = \phi_{q_2}(t_1(a)) \in \{0, 1\}$, which is clearly impossible as $t_i(a) \in \{2, 4\}$.

For the induction step, assume that $t = f(s_1, \dots, s_m)$, where $f \in \mathcal{J} \cup \Phi \cup \mathcal{M}$ and s_j satisfies the induction hypothesis, $1 \leq j \leq m$. Now there exists $1 \leq j \leq m$ such that f depends on its j -th variable and s_j depends on its i -th variable. By induction hypothesis $s_j(t_1, \dots, t_n)$ is distracted and so is $f(s_1(t_1, \dots, t_n), \dots, s_m(t_1, \dots, t_n))$, by the same proof as for the induction beginning. \square

Lemma 6. *Let $m_p^{q_1, q_2} \in \mathcal{M}$ and $t_1, t_2, t_3 \in \Phi \cup \{\text{id}\}$. Then $f = m_p^{q_1, q_2}(t_1, t_2, t_3)$ is distracted unless $t_1 = \text{id}$, $t_2 = \phi_{q_1}$, and $t_3 = \phi_{q_2}$. In the latter case we have $f = \phi_p$.*

Proof. If $t_2 = \text{id}$ or $t_3 = \text{id}$, then $f(a) \in \{2, 4\}$ for all $a \in A$, since $m_p^{q_1, q_2}$ can yield 0 or 1 only if its second and third argument is in the range of a function in Φ ; hence f is distracted in that case. Assume henceforth $t_2, t_3 \in \Phi$ and write $t_2 = \phi_r$ and $t_3 = \phi_s$, where $r, s \in \mathfrak{P}$.

If $t_1 = \text{id}$, then f yields 4 on the symmetric differences $A_{q_1} \Delta A_r$ and $A_{q_2} \Delta A_s$

by the very definition of $m_p^{q_1, q_2}$. Hence f is distracted unless those sets are empty, i.e. $s = q_1$ and $r = q_2$; in the latter case we have $f = \phi_p$ as asserted. If $t_1 = \phi_l \in \Phi$, then $m_p^{q_1, q_2}(\phi_l, \phi_r, \phi_s)$ yields by definition either 2, 4, or an element of the form $\phi_p(\phi_l(x)) \in \{2, 4\}$, so f is distracted. \square

Lemma 7. *All $t \in \mathcal{C}^{(1)} \setminus (\Phi \cup \{\text{id}\})$ are distracted.*

Proof. We prove this by induction over terms in \mathcal{C} . The beginning is trivial since there are no unary functions in the generating set $\mathcal{J} \cup \Phi \cup \mathcal{M}$ of \mathcal{C} except those from $\Phi \cup \{\text{id}\}$.

For the induction step, assume that $t = f(t_1, \dots, t_n)$, where $f \in \mathcal{J} \cup \Phi \cup \mathcal{M}$ and t_i satisfies the induction hypothesis, for all $1 \leq i \leq n$. The case $f \in \mathcal{J}$ is trivial. If $f \in \Phi$ and $t_1 \neq \text{id}$, then t_1 takes only values outside A by Lemma 3, so $f(t_1)$ takes only values in $\{2, 4\}$ and is distracted. The other possibility is that $f \in \mathcal{M}$, so write $t = m_p^{q_1, q_2}(t_1, t_2, t_3)$. If any of the t_i is distracted then so is t , by Lemma 5. We may therefore assume that the t_i are not distracted and hence are elements of $\Phi \cup \{\text{id}\}$. But then Lemma 6 tells us that t , not being an element of $\Phi \cup \{\text{id}\}$ by assumption, must be distracted. \square

Definition 8. We say that $t \in \mathcal{C}^{(n)}$ is *unspoilt* iff there exist $t_1, \dots, t_n \in \mathcal{C}^{(1)}$ such that $t(t_1, \dots, t_n) \in \Phi$. Otherwise we call t *spoilt*.

Remark 9. By Lemmas 5 and 7, t_i must be in $\Phi \cup \{\text{id}\}$ if t depends on its i -th variable, for all $1 \leq i \leq n$.

Remark 10. An easy induction using Lemmas 5 and 6 shows that t_i is uniquely determined if t depends on its i -th variable, for all $1 \leq i \leq n$.

Remark 11. By Lemmas 5 and 7, a unary $t \in \mathcal{C}^{(1)}$ is distracted iff it is spoilt.

Lemma 12. *Let $t \in \mathcal{C}^{(n)}$ be unspoilt, and assume it depends on its first variable. Then $t(2, x_2, \dots, x_n) \in \{2, 4\}$ for all $x_2, \dots, x_n \in X$.*

Proof. We use induction over the complexity of t . The lemma is trivial if $t \in \mathcal{J} \cup \Phi \cup \mathcal{M}$. For the induction step, since the range of $\phi_p(t_1)$ is contained in $\{2, 4\}$ and since therefore $\phi_p(t_1)$ is spoilt for all $\phi_p \in \Phi$ and all $t_1 \in \mathcal{C} \setminus \mathcal{J}$, we may assume $t = m_p^{q_1, q_2}(t_1, t_2, t_3)$, where t_i satisfies the induction hypothesis, $1 \leq i \leq 3$. Now one of the t_i must depend on its first variable, implying $t_i(2, x_2, \dots, x_n) \in \{2, 4\}$ by induction hypothesis. Hence, $m_p^{q_1, q_2}(t_1, t_2, t_3)(2, x_2, \dots, x_n) \in \{2, 4\}$ by the definition of $m_p^{q_1, q_2}$. \square

Let $t(x, y) \in \mathcal{C}^{(2)}$, and consider a concrete representation $r = r(t)$ of t as a term over the generating set $\mathcal{J} \cup \Phi \cup \mathcal{M}$ of \mathcal{C} . In the following, we write

such representations without the use of projections, using the variables x, y instead: For example, we write $m_p^{q_1, q_2}(x, y, y)$ instead of $m_p^{q_1, q_2}(\pi_1^2, \pi_2^2, \pi_2^2)$. This is no loss of generality and only avoids unnecessary usage of the projections, as in $\pi_1^2(\pi_2^2, \phi_p(\pi_1^2))$ (equivalently, we could demand the projections to appear only as innermost arguments in the representation). We say that a subterm s of r is a *leaf* of r iff it involves exactly one function symbol from $\Phi \cup \mathcal{M}$. For example, the leaves of

$$m_p^{q_1, q_2}(m_u^{v_1, v_2}(x, \phi_l(y), \phi_r(x)), \phi_d(y), m_g^{h_1, h_2}(x, x, x))$$

are $\phi_l(y), \phi_r(x), \phi_d(y)$, and $m_g^{h_1, h_2}(x, x, x)$. Thinking of r as a tree in which the variables are not represented by their own nodes, the leaves of r are really exactly the leaves of the tree.

We call the representation $r(t)$ *reduced* iff it has no subterms of the form $m_p^{q_1, q_2}(x, \phi_{q_1}(x), \phi_{q_2}(x))$. Such subterms can be replaced by $\phi_p(x)$ by virtue of Lemma 6, so every term t has a reduced representation. We are only interested in representations of unspoilt functions that depend on both variables, so all unary subterms of any representation correspond to elements of Φ , by Lemmas 5 and 7; working with reduced terms means that we demand those unary subterms to be represented by only one function symbol.

Let $r(t)$ be reduced. We set $Leaf(r)$ to consist of all leaves of $r(t)$. Note that $Leaf(r)$ depends on the representation of the function t .

Lemma 13. *Let $r(x, y)$ be a reduced representation of a binary function in \mathcal{C} that is unspoilt and depends on both of its variables. Let $a \in A$. Then $r(2, a) = 4$ iff $a \in \bigcup \{A_v : \phi_v(y) \in Leaf(r)\}$.*

Proof. We use induction over the complexity of r . The beginning is trivial as there are no binary functions depending on both variables in the generating set of \mathcal{C} . For the induction step, write $r = f(r_1, \dots, r_n)$, where $f \in \Phi \cup \mathcal{M}$, and where r_i satisfies the induction hypothesis, $1 \leq i \leq n$. If $f \in \Phi$, then using Lemma 3 it is readily verified that $f(r_1)$ is spoilt unless r_1 is a projection, in which case $r \in \Phi^*$, contradicting that r depends on both variables. Assume henceforth that $f = m_p^{q_1, q_2} \in \mathcal{M}$.

Observe that all r_i must be unspoilt, for otherwise r would be spoilt as well by Lemmas 5 and 7. Since r is unspoilt, there exist $s_1, s_2 \in \mathcal{C}^{(1)}$ such that $m_p^{q_1, q_2}(r_1(s_1, s_2), \dots, r_3(s_1, s_2)) \in \Phi$. By Lemmas 5, 6 and 7, this is only possible if $r_1(s_1, s_2)$ is the identity, which together with Lemma 3 implies that r_1 is a projection. Suppose that $r_2 = r_1 = \pi_i^2$, where $i \in \{1, 2\}$. Then $r(s_1, s_2) = m_p^{q_1, q_2}(s_i, s_i, r_3(s_1, s_2)) \in \Phi$ and Lemma 6 implies that the first argument in $m_p^{q_1, q_2}$ must be the identity, while the second must equal ϕ_{q_1} , an obvious contradiction. The same contradiction occurs assuming $r_3 = r_1$, and hence we have $r_i \neq r_1$, $i = 2, 3$. We now distinguish six cases.

Assume first that $r_2, r_3 \in \mathcal{J}$. Then $r = m_p^{q_1, q_2}(x, y, y)$ or $r = m_p^{q_1, q_2}(y, x, x)$. In either case we have $r(2, a) = 2 \neq 4$, in accordance with our assertion as r does not have any leaves of the form $\phi_v(y)$.

Consider the case where $r_2 \in \mathcal{J}$ and $r_3 \in \Phi^*$ (by symmetry, this also treats the case $r_3 \in \mathcal{J}$ and $r_2 \in \Phi^*$). Keeping Lemma 6 in mind we conclude that $r = m_p^{q_1, q_2}(x, y, \phi_{q_2}(x))$ or $r = m_p^{q_1, q_2}(y, x, \phi_{q_2}(y))$ or $r = m_p^{q_1, q_2}(x, y, \phi_{q_2}(y))$ or $r = m_p^{q_1, q_2}(y, x, \phi_{q_2}(x))$. The latter two possibilities, however, are spoilt as substitution of ϕ_{q_1} for y and x , respectively, yields a distracted third argument $\phi_{q_2}(\phi_{q_1})$ of $m_p^{q_1, q_2}$. The first possibility gives us $r(2, a) = m_p^{q_1, q_2}(2, a, 2) = 2 \neq 4$, in accordance with our assertion. Finally, for the second term we have $r(2, a) = m_p^{q_1, q_2}(a, 2, \phi_{q_2}(a))$, which equals 4 iff $\phi_{q_2}(a) \in \{1, 4\}$ iff $a \in A_{q_2}$.

Now assume that $r_2 \in \mathcal{J}$ and $r_3 \notin \mathcal{J} \cup \Phi^*$. Then r_3 depends on both of its variables by Lemma 7, and therefore satisfies the assertion of this lemma by induction hypothesis. By Lemma 12 we have that $r(2, a) = 4$ iff $r(2, a) \neq 2$; the definition of $m_p^{q_1, q_2}$ tells us that this is the case iff $2 \notin \{r_1(2, a), r_2(2, a), r_3(2, a)\}$ or $r_2(2, a) \in \{1, 4\}$ or $r_3(2, a) \in \{1, 4\}$. Now $r_3(2, a) \in \{2, 4\}$ by Lemma 12, and $r_2(2, a) \in \{2, a\}$ since r_2 is a projection. Thus, $r(2, a) = 4$ iff $r_3(2, a) = 4$, which by induction hypothesis is the case iff $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r_3)\}$. Since the leaves of r_3 are the just the leaves of r we are done.

Next say that $r_2 \in \Phi^*$ and $r_3 \notin \mathcal{J} \cup \Phi^*$. We have $r(2, a) = 4$ iff $r(2, a) \neq 2$, which happens iff $2 \notin \{r_1(2, a), r_2(2, a), r_3(2, a)\}$ or $r_2(2, a) \in \{1, 4\}$ or $r_3(2, a) \in \{1, 4\}$. Again, $r_3(2, a) \in \{2, 4\}$ by Lemma 12, and $r_2(2, a) \in \{0, 1, 2\}$ as $r_2 \in \Phi^*$, implying $r(2, a) = 4$ iff $r_2(2, a) = 1$ or $r_3(2, a) = 4$. Now if $r_2(x, y) = \phi_{q_1}(x)$, then $r_2(2, a) = 2$ and so $r(2, a) = 4$ iff $r_3(2, a) = 4$ iff $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r_3)\}$ by induction hypothesis. This is in accordance with our assertion since then $\phi_v(y) \in \text{Leaf}(r_3)$ iff $\phi_v(y) \in \text{Leaf}(r)$. If on the other hand $r_2(x, y) = \phi_{q_1}(y)$, then $r_2(2, a) = 1$ iff $a \in A_{q_1}$, and hence $r(2, a) = 4$ iff $a \in A_{q_1} \cup \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r_3)\}$; this is the case iff $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r)\}$.

If $r_2, r_3 \in \Phi^*$, then up to symmetry $r = m_p^{q_1, q_2}(x, \phi_{q_1}(x), \phi_{q_2}(y))$ or $r = m_p^{q_1, q_2}(x, \phi_{q_1}(y), \phi_{q_2}(y))$ or $r = m_p^{q_1, q_2}(y, \phi_{q_1}(x), \phi_{q_2}(x))$ or $r = m_p^{q_1, q_2}(y, \phi_{q_1}(x), \phi_{q_2}(y))$. Therefore $r(2, a) = 4$ iff $a \in A_{q_2}$ in the first case, iff $a \in A_{q_1} \cup A_{q_2}$ in the second case, and iff $a \in A_{q_2}$ in the fourth case; in the third case, $r(2, a) = 2 \neq 4$. Finally, consider $r_2, r_3 \notin \mathcal{J} \cup \Phi^*$. By Lemma 12, $\{r_2(2, a), r_3(2, a)\} \subseteq \{2, 4\}$; thus, $r(2, a) = 4$ iff $r(2, a) \neq 2$ iff $r_2(2, a) = 4$ or $r_3(2, a) = 4$. Using the induction hypothesis, we get that $r(2, a)$ yields 4 iff $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r_2)\}$ or $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r_3)\}$; hence, $r(2, a) = 4$ iff $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(r)\}$. \square

Set $\mathcal{S} = \{t \in \mathcal{C} : t \text{ spoilt}\}$. Define for all $I \subseteq \mathfrak{P}$ sets of functions $\Phi_I = \{\phi_p \in \Phi : p \in I\}$ and $\mathcal{G}_I = \Phi_I \cup \mathcal{M} \cup \mathcal{S}$, and a clone $\mathcal{C}_I = \langle \mathcal{G}_I \rangle$. Write $\langle I \rangle$ for the ideal of \mathfrak{P} generated by I .

Lemma 14. *Let $p \in \mathfrak{P}$ and $I \subseteq \mathfrak{P}$. Then $\phi_p \in \mathcal{C}_I$ iff $p \in \langle I \rangle$.*

Proof. Let $t \in \mathcal{C}_I$; using induction over the complexity of t as a term over the generating set \mathcal{G}_I , we show that $t = \phi_p$ implies $p \in \langle I \rangle$. The beginning is trivial, since if $t \in \mathcal{G}_I$, then $t \in \Phi_I$ and so $p \in I$. For the induction step, write $t = f(t_1, \dots, t_n)$, with $f \in \mathcal{G}_I$ and $t_i \in \mathcal{C}_I$ satisfying the induction hypothesis, $1 \leq i \leq n$. Clearly, $f \in \mathcal{S}$ is impossible. $f \in \Phi_I$ implies that t_1 is the identity and so $f = \phi_p$; hence $p \in I$. Assume therefore that $f = m_u^{q_1, q_2} \in \mathcal{M}$. Then $u = p$, $t_1 = \text{id}$, $t_2 = \phi_{q_1}$ and $t_3 = \phi_{q_2}$ by Lemmas 5, 6 and 7. By induction hypothesis, $q_1, q_2 \in \langle I \rangle$. Hence, $p \leq q_1 \vee q_2 \in \langle I \rangle$. For the other direction, it is enough to show that if $\phi_{q_1}, \phi_{q_2} \in \mathcal{C}_I$, then $\phi_u \in \mathcal{C}_I$ for all $u \leq q_1 \vee q_2$. But this is clear since $\phi_u = m_u^{q_1, q_2}(\text{id}, \phi_{q_1}, \phi_{q_2}) \in \mathcal{C}_I$. \square

Lemma 15. *Let \mathcal{I} be a family of ideals of \mathfrak{P} . Then $\bigvee \{\mathcal{C}_I : I \in \mathcal{I}\} = \mathcal{C}_{\bigvee \mathcal{I}}$.*

Proof. Trivially, $\mathcal{C}_{\bigvee \mathcal{I}}$ contains all \mathcal{C}_I , where $I \in \mathcal{I}$, hence it contains $\bigvee \{\mathcal{C}_I : I \in \mathcal{I}\}$. For the other inclusion we have to show that $\mathcal{C}_{\bigvee \mathcal{I}}$ is contained in $\bigvee \{\mathcal{C}_I : I \in \mathcal{I}\}$; clearly, it is enough to show that $\Phi_{\bigvee \mathcal{I}} \subseteq \bigvee \{\mathcal{C}_I : I \in \mathcal{I}\}$. Indeed, if $\phi_p \in \Phi_{\bigvee \mathcal{I}}$, then $p \in \bigvee \mathcal{I}$. Since $\bigvee \mathcal{I} = \langle \bigcup \mathcal{I} \rangle$, the preceding lemma implies $\phi_p \in \mathcal{C}_{\bigcup \mathcal{I}}$. Now it is enough to observe that $\mathcal{C}_{\bigcup \mathcal{I}}$ equals $\langle \bigcup \{\mathcal{C}_I : I \in \mathcal{I}\} \rangle$, which is exactly $\bigvee \{\mathcal{C}_I : I \in \mathcal{I}\}$. \square

Lemma 16. *Let \mathcal{I} be a family of ideals of \mathfrak{P} . Then $\bigwedge \{\mathcal{C}_I : I \in \mathcal{I}\} = \mathcal{C}_{\bigwedge \mathcal{I}}$.*

Proof. $\mathcal{C}_{\bigwedge \mathcal{I}}$ is a subclone of all \mathcal{C}_I , where $I \in \mathcal{I}$, so trivially $\mathcal{C}_{\bigwedge \mathcal{I}} \subseteq \bigwedge \{\mathcal{C}_I : I \in \mathcal{I}\}$. For the other direction, let $t \in \bigwedge \{\mathcal{C}_I : I \in \mathcal{I}\} = \bigcap \{\mathcal{C}_I : I \in \mathcal{I}\}$. If t is spoilt, then $t \in \mathcal{C}_{\bigwedge \mathcal{I}}$ by definition, so assume that t is unspoilt. If t is essentially unary, then t is a projection or an element of Φ^* , by Lemma 7. In the latter case, $t \in \bigcap \{\Phi_I^* : I \in \mathcal{I}\}$ by Lemma 14, so $t \in \mathcal{C}_{\bigcap \mathcal{I}} = \mathcal{C}_{\bigwedge \mathcal{I}}$. So let t be essentially at least binary, and assume without loss of generality that it depends on all of its variables. Because t is unspoilt, there exist $t_1, \dots, t_n \in \Phi \cup \{\text{id}\}$ such that $t(t_1, \dots, t_n) \in \Phi$. Set $s_i(x, y) = t(t_1(x), \dots, t_{i-1}(x), y, t_{i+1}(x), \dots, t_n(x))$, for all $1 \leq i \leq n$. Obviously, all s_i are unspoilt. They also depend on both variables: Indeed, let without loss of generality $i = 1$. Then $s_1(2, t_1(a)) = t(t_1(a), 2, \dots, 2) \in \{2, 4\}$ by Lemma 12 but $s_1(a, t_1(a)) = t(t_1, \dots, t_n)(a) \in \{0, 1\}$ for all $a \in A$, so s_1 depends on the first variable. For the second variable, observe that

$s_1(a, 2) = t(2, t_2(a), \dots, t_n(a)) \in \{2, 4\}$, so $s_1(a, t_1(a)) \neq s_1(a, 2)$.

Assume that t is represented as a reduced term. The s_i might not be reduced: For example, t could have a subterm like $m_p^{q_1, q_2}(x_2, \phi_{q_1}(x_3), x_4)$, which becomes $m_p^{q_1, q_2}(x, \phi_{q_1}(x), \phi_{q_2}(x))$ when we substitute $x_2 = x_3 = x$ and $x_4 = \phi_{q_2}(x)$ upon building, say, s_1 . However, such redundancies will occur only for the variable x . Thus, when simplifying s_i to a reduced term according to the equation $m_p^{q_1, q_2}(x, \phi_{q_1}(x), \phi_{q_2}(x)) = \phi_p(x)$, the leaves of the form $\phi_p(y)$, which were originally (that is, in t) leaves of the form $\phi_p(x_i)$, do not change. Therefore, $\phi_p(y)$ is a leaf of the new reduced s_i iff $\phi_p(x_i)$ is a leaf of t .

By Lemma 13, for all $1 \leq i \leq n$ and for all $a \in A$ we have that $s_i(2, a) = 4$ iff $a \in \bigcup\{A_v : \phi_v(y) \in \text{Leaf}(s_i)\}$. This is the case iff $a \in \bigcup\{A_v : \phi_v(x_i) \in \text{Leaf}(t)\}$. Therefore, there exists $1 \leq i \leq n$ with $s_i(2, a) = 4$ iff $a \in \bigcup\{A_v : \exists i (\phi_v(x_i) \in \text{Leaf}(t))\}$. Pick arbitrary $I, J \in \mathcal{I}$ and consider two reduced representations t_I, t_J of t , where t_I is a term over \mathcal{G}_I and t_J one over \mathcal{G}_J . Then, since whether or not $s_i(2, a) = 4$ does not depend on the representation,

$$\bigcup\{A_v : \exists i (\phi_v(x_i) \in \text{Leaf}(t_I))\} = \bigcup\{A_v : \exists i (\phi_v(x_i) \in \text{Leaf}(t_J))\}.$$

Because $A_v \not\subseteq A_{q_1} \cup \dots \cup A_{q_k}$ whenever $q_i \neq v$, $1 \leq i \leq k$, we conclude

$$\{v : \exists i (\phi_v(x_i) \in \text{Leaf}(t_I))\} = \{v : \exists i (\phi_v(x_i) \in \text{Leaf}(t_J))\}.$$

Thus, the latter set is a subset of both I and J , implying that t_I actually involves only functions from $\mathcal{G}_{I \cap J}$ as leaves. Since J was arbitrary, we may conclude that the term t_I uses only functions from $\mathcal{G}_{\bigwedge \mathcal{I}}$ as leaves. Because functions from Φ can appear only as leaves in an unspoilt term ($\phi_v(f)$ is spoilt for all $\phi_v \in \Phi$ and all $f \in \mathcal{C}$ unless f is a projection), this means that t_I contains only functions from $\mathcal{G}_{\bigwedge \mathcal{I}}$. Hence, $t \in \mathcal{C}_{\bigwedge \mathcal{I}}$. \square

Proposition 17. *The mapping assigning \mathcal{C}_I to every ideal $I \subseteq \mathfrak{P}$ is a complete lattice embedding of \mathfrak{L} into $\text{Cl}(X)$.*

Proof. The function is injective by Lemma 14 and preserves arbitrary suprema and infima by Lemmas 15 and 16. \square

3. CONCLUDING REMARKS AND OUTLOOK

The only place where we used the infinity of the base set X is when we claim the existence of a family \mathcal{A} which is as large as \mathfrak{P} and has the property that whenever $A_p, A_{q_1}, \dots, A_{q_k} \in \mathcal{A}$ and $p \neq q_i$ for all $1 \leq i \leq k$, then $A_p \not\subseteq A_{q_1} \cup \dots \cup A_{q_k}$. Therefore surprisingly, the same proof works to show that every finite lattice \mathfrak{L} is a sublattice of the clone lattice over a finite X for some X large enough ($|X| \geq |\mathfrak{L}| + 4$ suffices). However, as

mentioned in the introduction, much better results already exist for finite X .

Answering the following question would be a next interesting step in answering the question of how complicated the clone lattice is.

Problem 18. *Is every algebraic lattice with at most $2^{|X|}$ compact elements an interval of $\text{Cl}(X)$?*

REFERENCES

- [Bul93] A. Bulatov, *Identities in lattices of closed classes*, Discrete Math. Appl. **3** (1993), no. 6, 601–609.
- [Bul94] A. Bulatov, *Finite sublattices in the lattice of clones*, Algebra and Logic **33** (1994), no. 5, 287–306.
- [Bul01] A. Bulatov, *Conditions satisfied by clone lattices*, Algebra Univers. **46** (2001), 237–241.
- [Bur67] G. A. Burle, *Classes of k -valued logic which contain all functions of a single variable*, Diskret. Analiz, Novosibirsk **10** (1967), 3–7 (Russian).
- [GP] M. Goldstern and M. Pinsker, *A survey of clones on infinite sets*, Preprint available from <http://arxiv.org/math.RA/0701030>.
- [Grä78] G. Grätzer, *General lattice theory*, Birkhäuser Verlag, Basel, 1978, Lehrbücher und Monographien aus dem Gebiete der Exakten Wissenschaften, Mathematische Reihe, Band 52.
- [GSa] M. Goldstern and S. Shelah, *Large intervals in the clone lattice*, Algebra Univers., to appear. Preprint available from <http://arxiv.org/math.RA/0208066>.
- [GSb] M. Goldstern and S. Shelah, *Very many clones above the unary clone*, Preprint.
- [GS02] M. Goldstern and S. Shelah, *Clones on regular cardinals*, Fundam. Math. **173** (2002), no. 1, 1–20.
- [GS05] M. Goldstern and S. Shelah, *Clones from creatures*, Trans. Amer. Math. Soc. **357** (2005), no. 9, 3525–3551.
- [Jec03] T. Jech, *Set theory*, Springer Monographs in Mathematics, Springer-Verlag, Berlin, 2003, The third millennium edition, revised and expanded.
- [Pin] M. Pinsker, *Monoidal intervals of clones on infinite sets*, Discrete Math., to appear. Preprint available from <http://arxiv.org/math.RA/0509206>.
- [Pos41] E. L. Post, *The two-valued iterative systems of mathematical logic*, Ann. Math. Studies, vol. 5, Princeton University Press, 1941.
- [Ros70] I. G. Rosenberg, *Über die funktionale Vollständigkeit in den mehrwertigen Logiken*, Rozprawy Československé Akad. věd, Ser. Math. Nat. Sci. **80** (1970), 3–93.
- [Ros76] I. G. Rosenberg, *The set of maximal closed classes of operations on an infinite set A has cardinality $2^{2^{|A|}}$* , Arch. Math. (Basel) **27** (1976), 561–568.

INSTITUT FÜR DISKRETE MATHEMATIK UND GEOMETRIE, TECHNISCHE UNIVERSITÄT WIEN, WIEDNER HAUPTSTRASSE 8-10/104, A-1040 WIEN, AUSTRIA

E-mail address: marula@gmx.at

URL: <http://dmg.tuwien.ac.at/pinsker/>