

## Lattice paths

- **Step set:**  $\mathcal{S} = \{s_1, s_2, \dots, s_m\} \subset \mathbb{Z}$
- largest left and right steps:  $-c := \min \mathcal{S}$  and  $d := \max \mathcal{S}$
- **$n$ -step lattice path:** sequence of steps  $(v_1, \dots, v_n) \in \mathcal{S}^n$   
 $\rightsquigarrow$  can be seen as a *directed lattice path* in  $\mathbb{N} \times \mathbb{Z}$

## New tool for lattice path surgery: prime walks

- Set  $\mathcal{A}_k$  of arches = walks starting at 0, ending at altitude  $k$ , and staying always strictly above altitude  $k$  except for its first and final position.
- The set  $\mathcal{P}$  of *prime walks* is defined as the following sets of arches

$$\mathcal{P} = \bigcup_{k=0}^d \mathcal{A}_k.$$

## Theorem (Universal context-free grammar)

Meanders and excursions are generated by the following grammar:

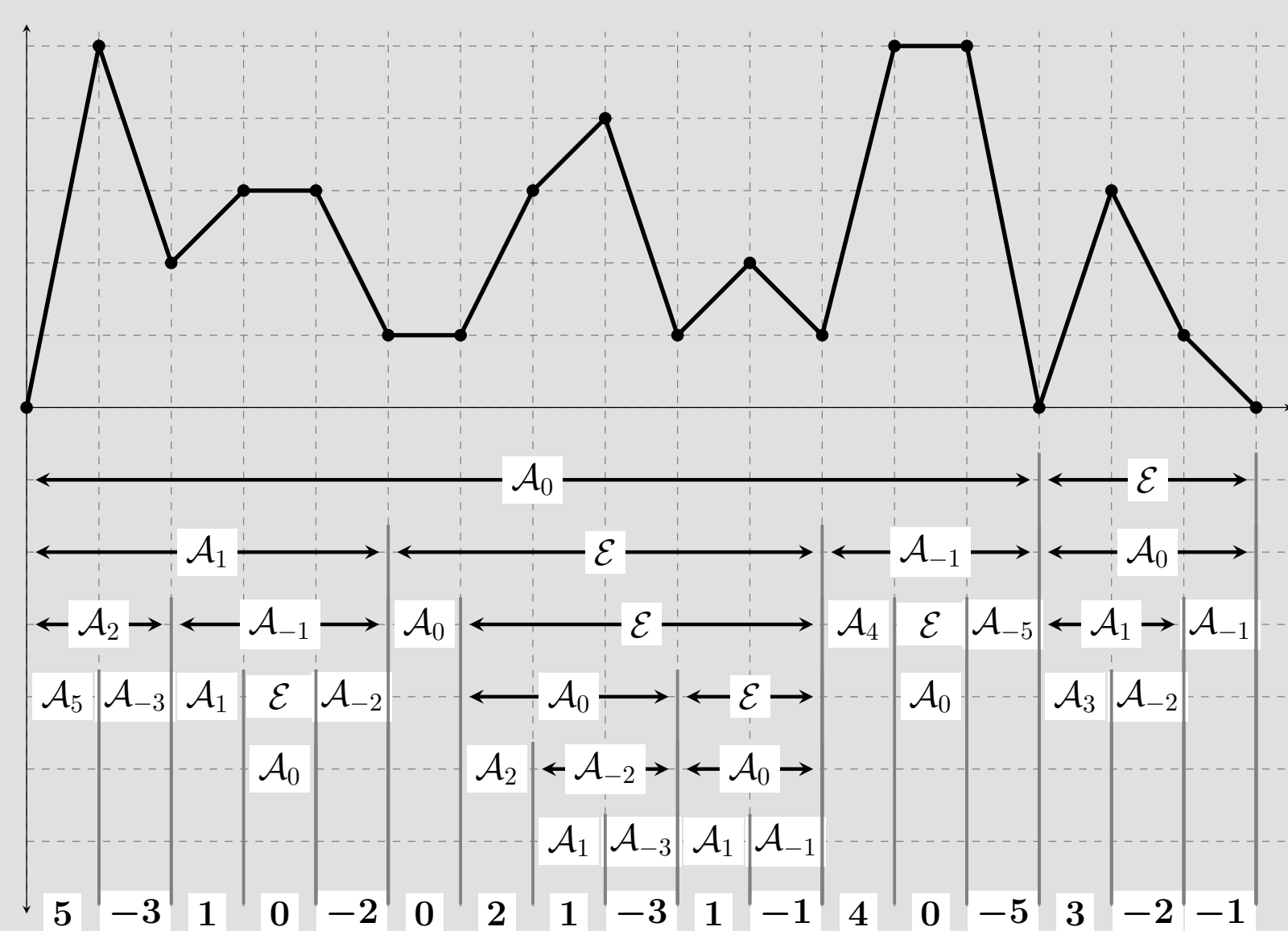
$$\begin{aligned} \mathcal{M} &\rightarrow \varepsilon + \mathcal{P}\mathcal{M} && \text{(meanders),} \\ \mathcal{E} &\rightarrow \varepsilon + \mathcal{A}_0\mathcal{E} && \text{(excursions),} \end{aligned}$$

i.e., “meanders are sequences of prime walks”:  $\mathcal{M} = \text{Seq}(\sum_{k=0}^d \mathcal{A}_k)$   
 and “excursions are sequences of arches”:  $\mathcal{E} = \text{Seq}(\mathcal{A}_0)$ ,  
 where the arches  $\mathcal{A}_k$  from 0 to  $k$  are generated by

$$\begin{aligned} \mathcal{A}_k &\rightarrow k + \sum_{j=k+1}^d \mathcal{A}_j \mathcal{E} \mathcal{A}_{k-j} && \text{(arches for } k \geq 0), \\ \mathcal{A}_k &\rightarrow k + \sum_{j=-c}^{k-1} \mathcal{A}_{k-j} \mathcal{E} \mathcal{A}_j && \text{(arches for } k < 0), \end{aligned}$$

with the convention that the part  $\mathcal{A}_k \rightarrow k$  is omitted whenever  $k \notin \mathcal{S}$ .

## Prime walk decomposition



## Theorem (Bivariate Spitzer/Sparre Andersen's identities)

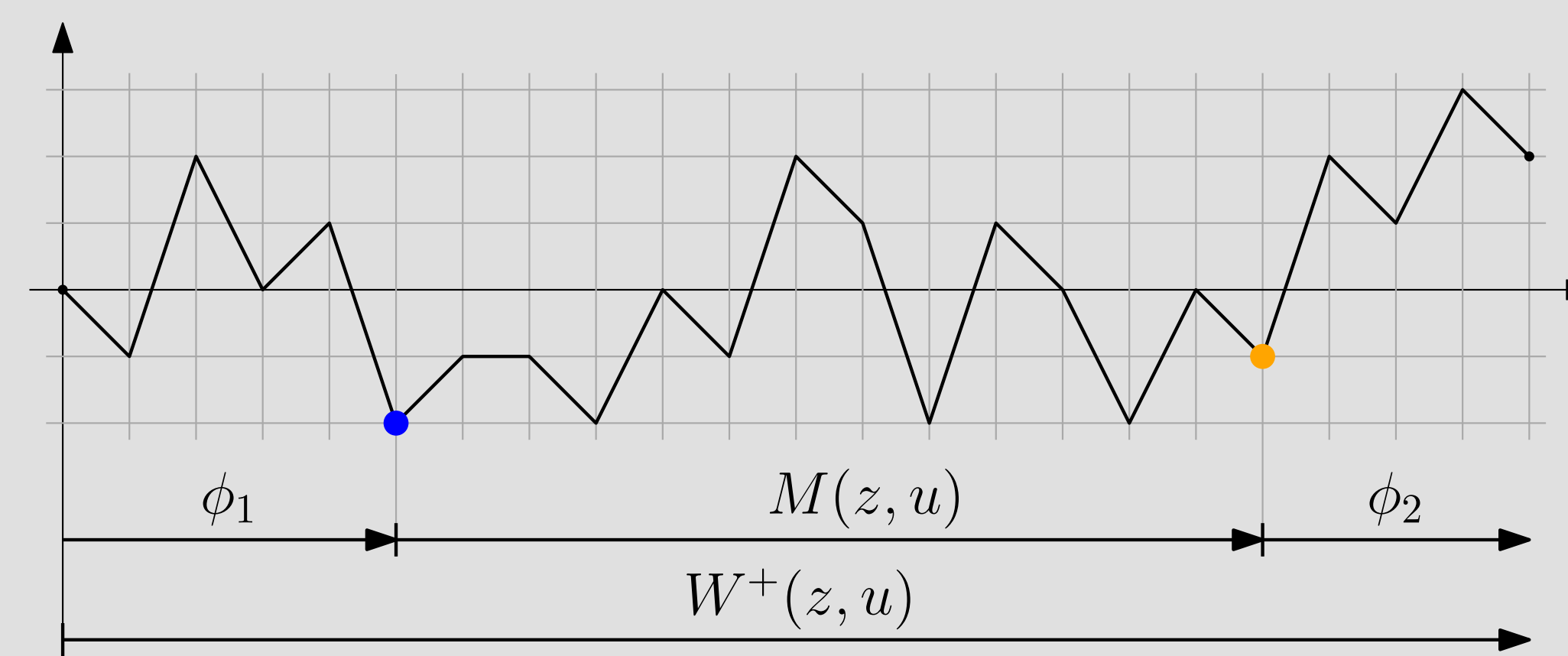
The GF  $W^+(z, u) = \sum_n w_n^+(u)z^n$  of walks ending at a positive altitude and the GF  $M(z, u) = \sum_n m_n(u)z^n$  of meanders (where  $u$  encodes the final altitude and  $z$  encodes the length) are related by the formula

$$M(z, u) = \exp\left(\int_0^z \frac{W^+(t, u) - 1}{t} dt\right) = \exp\left(\sum_{n \geq 1} \frac{w_n^+(u)}{n} t^n\right).$$

## Proof (Spitzer/Sparre Andersen-like decomposition)

A non-empty walk  $W^+(z, u)$  consists of a maximal meander  $M(z, u)$  starting at the first minimum and a pointed prime walk  $\phi_2$ :

$$W^+(z, u) - 1 = M(z, u)z \frac{\partial}{\partial z} \left(1 - \frac{1}{M(z, u)}\right).$$

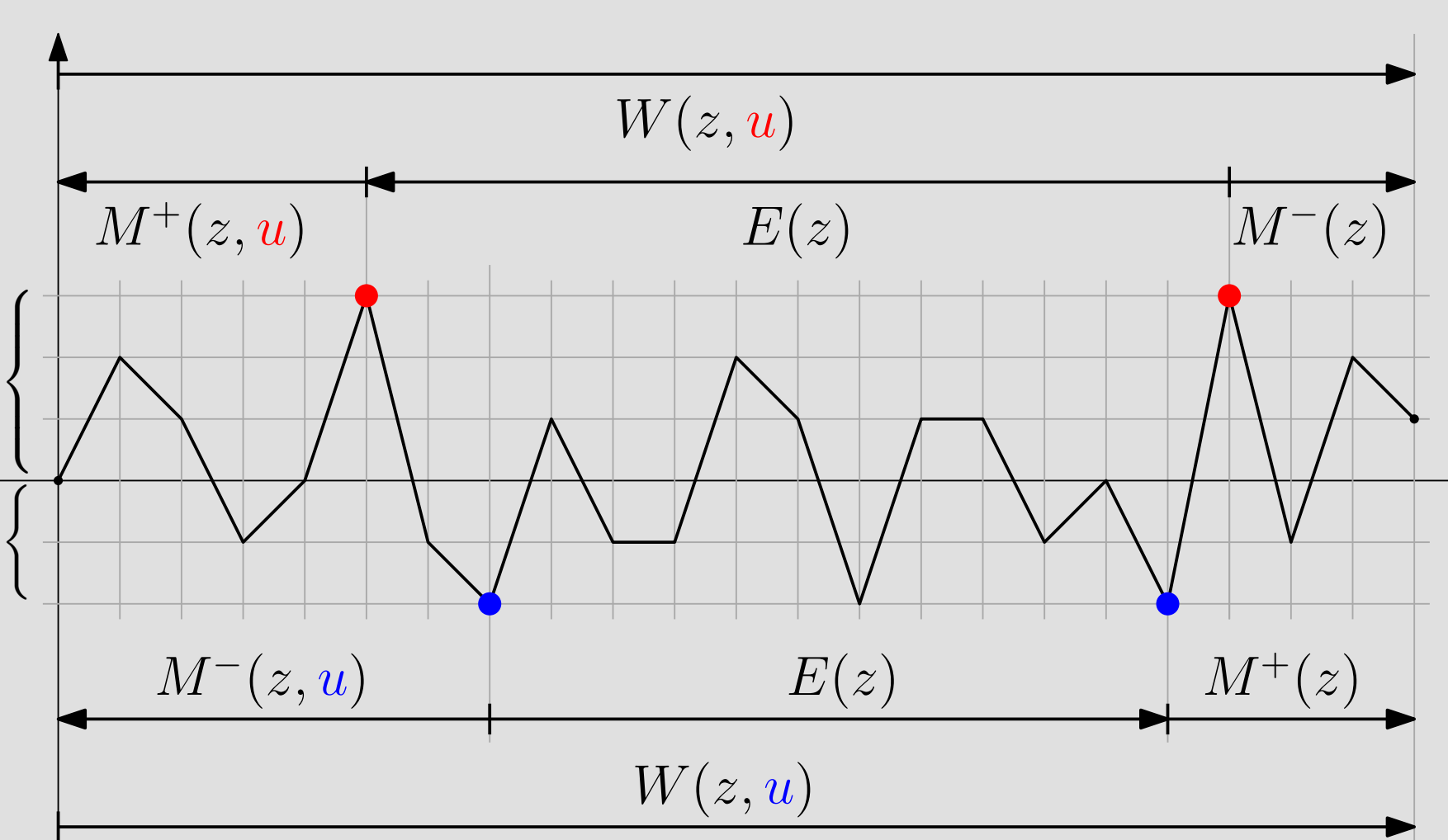


## Theorem (Bivariate version of Wiener-Hopf formula)

The GFs  $W_{+h}(z, u)$  and  $W_{-h}(z, u)$  of walks ( $u$  marks the positive and negative height; not the altitude!) are related to the GFs  $M^+(z, u)$  of positive and  $M^-(z, u)$  of negative meanders ( $u$  marks the final altitude):

$$\begin{aligned} W_{+h}(z, u) &= M^-(z)E(z)M^+(z, u) = \frac{-1}{s_d z} \prod_{j=1}^c \frac{1}{1 - u_j(z)} \prod_{\ell=1}^d \frac{1}{u - v_\ell(z)}, \\ W_{-h}(z, u) &= M^-(z, u)E(z)M^+(z) = \frac{-1}{s_d z} \prod_{j=1}^c \frac{1}{1 - u_j(z)/u} \prod_{\ell=1}^d \frac{1}{1 - v_\ell(z)}. \end{aligned}$$

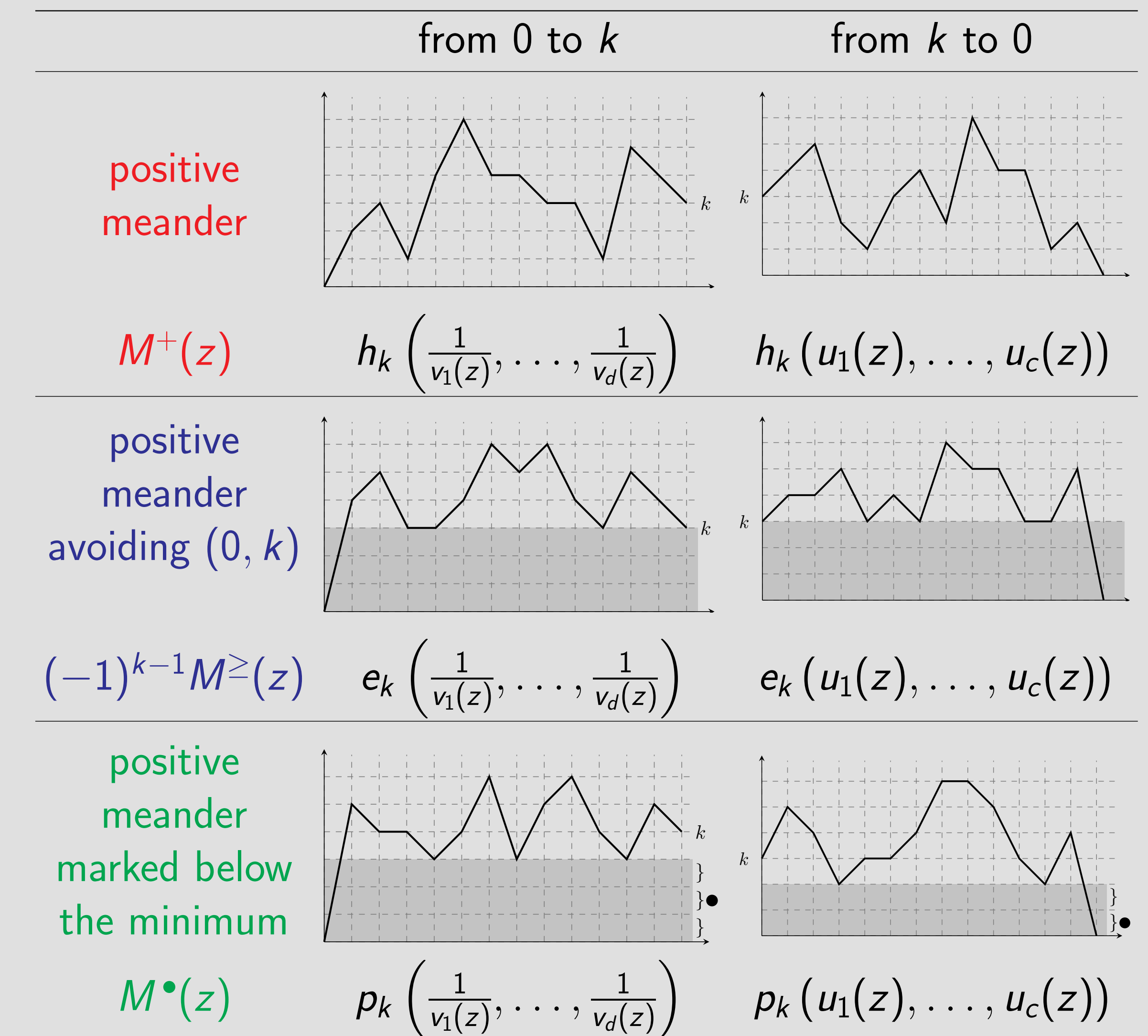
positive height for  $W(z, u)$   
 = final altitude for  $M^+(z, u)$   
 negative height for  $W(z, u)$   
 = final altitude for  $M^-(z, u)$



## Symmetric polynomials of degree $k$ in $d$ variables

- Complete hom. sym. pol.  $h_k(x_1, \dots, x_d) = \sum_{1 \leq i_1 \leq \dots \leq i_k \leq d} x_{i_1} \cdots x_{i_k}$
- Elementary sym. pol.  $e_k(x_1, \dots, x_d) = \sum_{1 \leq i_1 < \dots < i_k \leq d} x_{i_1} \cdots x_{i_k}$
- Power sum sym. pol.  $p_k(x_1, \dots, x_d) = \sum_{i=1}^d x_i^k$

## Symmetric polynomials and new types of lattice paths



## Theorem (Asymptotics: explicit multiplicative constants)

The radius of convergence is  $\rho := 1/S(\tau)$ , s.t.  $\tau > 0$  given by  $S'(\tau) = 0$ .

$$\begin{aligned} [z^n] M_{k,0}^+(z) &= \alpha_1 \frac{S(\tau)^n}{2\sqrt{\pi n^3}} \left(1 + \mathcal{O}\left(\frac{1}{n}\right)\right), & \alpha_1 &= \frac{\partial h_k}{\partial x_1}(\tau, u_2(\rho), \dots, u_c(\rho)). \\ [z^n] M_{k,0}^{\geq}(z) &= \alpha_2 \frac{S(\tau)^n}{2\sqrt{\pi n^3}} \left(1 + \mathcal{O}\left(\frac{1}{n}\right)\right), & \alpha_2 &= \frac{\partial e_k}{\partial x_1}(\tau, u_2(\rho), \dots, u_c(\rho)). \\ [z^n] M_{k,0}^\bullet(z) &= \alpha_3 \frac{S(\tau)^n}{2\sqrt{\pi n^3}} \left(1 + \mathcal{O}\left(\frac{1}{n}\right)\right), & \alpha_3 &= \frac{\partial p_k}{\partial x_1}(\tau, u_2(\rho), \dots, u_c(\rho)). \end{aligned}$$

## References

- [1] Banderier, C.; Flajolet, P.: *Basic analytic combinatorics of directed lattice paths*. Theoretical Computer Science, 2002.
- [2] Bousquet-Mélou, M. *Discrete excursions*. Sémin. Lothar. Combin., 2008.
- [3] Duchon, P: *On the enumeration and generation of generalized Dyck words*. Discrete Mathematics, 2000.