

Additive Functions on Convex Bodies

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Felix Klein's Erlangen Program 1872



Geometry is the study of invariants of transformation groups.

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Groups acting on \mathbb{R}^n

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- **Group of rigid motions:** $x \mapsto Ux + b$
where U is an orthogonal $n \times n$ matrix and $b \in \mathbb{R}^n$

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- **Special linear group $SL(n)$** : $x \mapsto Ax$
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where A is an $n \times n$ matrix of determinant 1
- **General linear group $GL(n)$** : $x \mapsto Ax$
where A is an $n \times n$ matrix of determinant $\neq 0$

Valuations

- \mathcal{K}^n space of convex bodies (compact convex sets) in \mathbb{R}^n
- $\langle \mathbb{A}, + \rangle$ abelian semi-group
- A function $z : \mathcal{K}^n \rightarrow \langle \mathbb{A}, + \rangle$ is a *valuation* (or an *additive function*) \iff

$$z(K) + z(L) = z(K \cup L) + z(K \cap L)$$

for all $K, L \in \mathcal{K}^n$ such that $K \cup L \in \mathcal{K}^n$.

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- **Theory of valuations:**



Blaschke 1937, **Hadwiger** 1949, Sallee 1966, Schneider 1971, Groemer 1972, McMullen 1977, Goodey & Weil 1984, Betke & Kneser 1985, Klain 1995, Reitzner 1999, Alesker 1999, Fu 2006, Bernig 2006, Haberl 2006, Schuster 2006, ...

Hadwiger's Classification Theorem 1952

Theorem

A functional $z : \mathcal{K}^n \rightarrow \langle \mathbb{R}, + \rangle$ is a continuous, rigid motion invariant valuation



$\exists c_0, c_1, \dots, c_n \in \mathbb{R}$ such that

$$z(K) = c_0 V_0(K) + \dots + c_n V_n(K)$$

for every $K \in \mathcal{K}^n$.

$V_0(K), \dots, V_n(K)$	intrinsic volumes of K
V_n	n -dimensional volume
$2 V_{n-1}(K) = S(K)$	surface area
$V_0(K) = 1$	Euler characteristic

Affine Surface Area of $K \in \mathcal{K}^n$

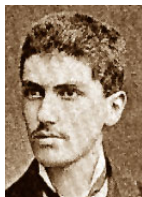
$$\Omega(K) = \int_{\partial K} \kappa(K, x)^{\frac{1}{n+1}} dx$$

$\kappa(K, x)$ Gaussian curvature at $x \in \partial K$

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Definition for smooth surfaces:

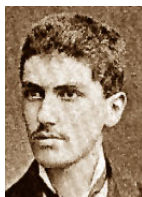
Georg Pick 1914, Wilhelm Blaschke 1923



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Definition for general convex bodies:

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Applications in polytopal approximation

Fejes Tóth 1948, Schneider 1986, Gruber 1988, ...

Theorem (L. 1999, L. & Reitzner 1999)

A functional $z : \mathcal{K}^n \rightarrow \langle \mathbb{R}, + \rangle$ is an upper semicontinuous, $SL(n)$ and translation invariant valuation



$\exists c_0, c_1 \in \mathbb{R}, c_2 \geq 0$ such that

$$z(K) = c_0 V_0(K) + c_1 V_n(K) + c_2 \Omega(K)$$

for every $K \in \mathcal{K}^n$.

Theorem (L. 1999, L. & Reitzner 1999)

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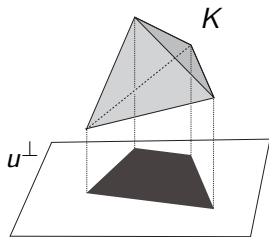
General affine surface areas

- Upper semicontinuous, $SL(n)$ invariant valuations
- A classification of $SL(n)$ invariant valuations
(Jointly with M. Reitzner, Annals of Mathematics, in press)

Integral Affine Surface Area

- Cauchy's surface area formula

$$S(K) = \frac{1}{v_{n-1}} \int_{S^{n-1}} V_{n-1}(K|u^\perp) du$$

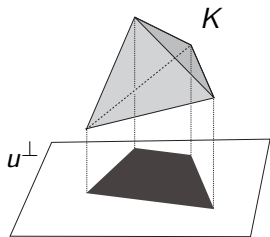


- ▶ $S(K)$ surface area of K
- ▶ u^\perp hyperplane orthogonal to u
- ▶ $K|u^\perp$ projection of K to u^\perp
- ▶ $v_k = V_k(B^k)$; B^k k -dimensional unit ball

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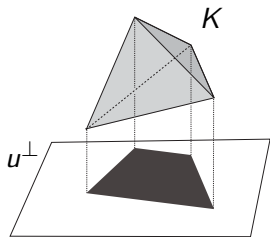
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- Integral affine surface area

$$\Phi(K) = \left(\frac{1}{n} \int_{S^{n-1}} V_{n-1}(K|u^\perp)^{-n} du \right)^{-1/n}$$

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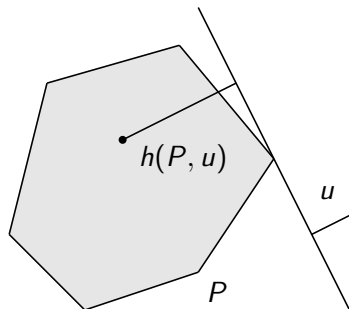
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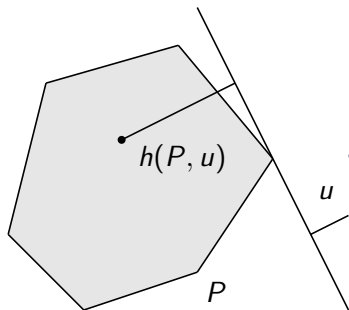
- ▶ $\Phi(\psi K) = |\det \psi|^{1-n} \Phi(K)$ for all $\psi \in \text{GL}(n)$

Projection Body, ΠK , of K



- Support function:
 $h(P, u) = \max\{u \cdot x : x \in P\}$

Projection Body, ΠK , of K

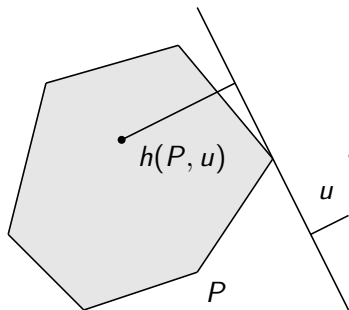


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Definition (Minkowski 1901)

$$h(\Pi K, u) = V_{n-1}(K|u^\perp), \quad u \in S^{n-1}$$

Projection Body, ΠK , of K



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Definition (Minkowski 1901)

$$h(\Pi K, u) = V_{n-1}(K|u^\perp), \quad u \in S^{n-1}$$

- $K^* = \{x \in \mathbb{R}^n : x \cdot y \leq 1 \text{ for all } y \in K\}$ polar body of K
- $\Pi^* K = (\Pi K)^*$ polar projection body of K
- $\Phi(K) = V(\Pi^* K)^{-1/n}$ integral affine surface area

Brunn Minkowski Theory

R. Schneider (*Convex Bodies: The Brunn Minkowski Theory*, 1993)

"Merging two elementary notions for point sets in Euclidean space:
vector addition and volume"

- Minkowski sum (or vector sum) of $K, L \in \mathcal{K}^n$

$$\begin{aligned}K + L &= \{x + y : x \in K, y \in L\} \\h(K + L, \cdot) &= h(K, \cdot) + h(L, \cdot)\end{aligned}$$

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- $Z : \mathcal{K}^n \rightarrow \langle \mathcal{K}^n, + \rangle$ is a **Minkowski valuation** \Leftrightarrow

$$ZK + ZL = Z(K \cup L) + Z(K \cap L)$$

for all $K, L \in \mathcal{K}^n$ such that $K \cup L \in \mathcal{K}^n$

Classification of Minkowski Valuations

Theorem (L. 2002)

$Z : \mathcal{K}_0^n \rightarrow \langle \mathcal{K}^n, + \rangle$ is a continuous, $GL(n)$ contravariant Minkowski valuation



$\exists c \geq 0:$

$$ZK = c\Pi K$$

for every $K \in \mathcal{K}_0^n$.

- \mathcal{K}_0^n convex bodies in \mathbb{R}^n that contain the origin
- $Z : \mathcal{K}_0^n \rightarrow \mathcal{K}^n$ is $GL(n)$ contravariant \iff

$$Z(\phi K) = |\det \phi|^q \phi^{-t} ZK$$

for all $\phi \in GL(n)$, $K \in \mathcal{K}_0^n$ for some $q \in \mathbb{R}$

Petty's Projection Inequality

$$V(K)^{n-1} V(\Pi^* K) \leq V(B^n)^{n-1} V(\Pi^* B^n)$$

- equality precisely for ellipsoids
- affine isoperimetric inequality
- Hölder's inequality \Rightarrow

$$\left(\frac{1}{nV_n} \int_{S^{n-1}} V_{n-1}(K|u^\perp)^{-n} du \right)^{-\frac{1}{n}} \leq \frac{1}{nV_n} \int_{S^{n-1}} V_{n-1}(K|u^\perp) du$$

\Rightarrow isoperimetric inequality Clinton Petty 1972

Affine Sobolev Inequality (Gaoyong Zhang 1999)

$$\left(\frac{1}{n v_n} \int_{S^{n-1}} \|D_u f\|_1^{-n} du \right)^{-1/n} \geq 2v_{n-1} v_n^{1/n-1} \|f\|_{n/(n-1)}$$

- $f \in W^{1,1}(\mathbb{R}^n) = \{f \in L^1(\mathbb{R}^n), \nabla f \in L^1(\mathbb{R}^n)\}$
- $D_u f$ directional derivative of f in direction u
 $\|f\|_p = \left(\int_{\mathbb{R}^n} |f(x)|^p dx \right)^{1/p}$

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\Rightarrow sharp L^1 Sobolev inequality

$$\|\nabla f\|_1 \geq n v_n^{1/n} \|f\|_{n/(n-1)}$$

Federer & Fleming 1960, Maz'ya 1960

L^p Sobolev inequalities

$$\|\nabla f\|_p \geq \alpha_{n,p} \|f\|_q$$

- $f \in W^{1,p}(\mathbb{R}^n)$, $q = \frac{np}{n-p}$, $1 < p < n$
- $\|f\|_p = \left(\int_{\mathbb{R}^n} |f(x)|^p dx \right)^{1/p}$
- equality for $f(x) = (a + b|x - x_0|^{p/(p-1)})^{1-n/p}$,
 $a, b > 0$, $x_0 \in \mathbb{R}^n$
- Aubin 1976, Talenti 1976

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Questions

Are there affine L^p Sobolev inequalities?

What are the corresponding geometric inequalities?

Affine L^p Sobolev Inequality

$$\left(\int_{S^{n-1}} \|D_u f\|_p^{-n} du \right)^{-1/n} \geq \gamma_{n,p} \|f\|_q$$

- $f \in W^{1,p}(\mathbb{R}^n)$, $1 < p < n$
- $D_u f$ directional derivative of f in direction u
- equality for $f(x) = (a + |\phi(x - x_0)|^{p/(p-1)})^{1-n/p}$,
 $a > 0$, $x_0 \in \mathbb{R}^n$, $\phi \in \text{GL}(n)$
- affine isoperimetric inequality
- $\Rightarrow L^p$ Sobolev inequality
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- $p \geq n$: *Affine Moser-Trudinger and Morrey-Sobolev inequalities*
by Cianchi, Lutwak, Yang, Zhang 2009

L^p Brunn Minkowski Theory

"Merging two elementary notions for point sets in Euclidean space:
 L^p Minkowski addition and volume"

$K, L \in \mathcal{K}_0^n$ convex bodies in \mathbb{R}^n , $0 \in \text{int } K, L$

- L^p Minkowski or vector sum (Firey 1962): $p > 1$, $\alpha, \beta \geq 0$

$$h^p(\alpha \cdot K +_p \beta \cdot L, u) = \alpha h^p(K, u) + \beta h^p(L, u)$$

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- L^p surface area measure, $S_p(K, \cdot)$, of K (Lutwak 1993)

$$V_p(K, L) = \frac{p}{n} \lim_{\varepsilon \rightarrow 0} \frac{V(K +_p \varepsilon \cdot L) - V(K)}{\varepsilon} = \frac{p}{n} \int_{S^{n-1}} h^p(L, u) dS_p(K, u)$$

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- Bianchini, Campi, W. Chen, K.S. Chou, Colesanti, Haberl, C. Hu, Hug, Gardner, Giannopoulos, Grinberg, Gronchi, Guédon, Lutwak, S. Lv, X.N. Ma, Meyer, Oliker, Paouris, Reitzner, Ryabogin, Schneider, Schuster, Schütt, C. Shen, Stancu, Umanskiy, X.J. Wang, Werner, D. Yang, Xiao, D. Ye, G. Zhang, Zvavitch, ...

L^p Petty Projection Inequality

$$V(K)^{n-p} V(\Pi_p^* K)^p \leq V(B^n)^{n-p} V(\Pi_p^* B^n)^p$$

- $\Pi_p K$ L^p projection body of K
- $h^p(\Pi_p K, u) = \int_{S^{n-1}} |u \cdot v|^p dS_p(K, v)$, $u \in S^{n-1}$, $p > 1$
- $S_p(K, \cdot) = h^{1-p}(K, \cdot) S(K, \cdot)$ L^p surface area measure of K
- equality precisely for ellipsoids centered at the origin
- affine isoperimetric inequality
- Erwin Lutwak, Deane Yang, Gaoyong Zhang 2000

Classification of L^p Minkowski Valuations

Theorem (L. 2005)

$Z : \mathcal{K}_0^n \rightarrow \langle \mathcal{K}_0^n, +_p \rangle$ is a continuous, $GL(n)$ contravariant L^p Minkowski valuation



$\exists c_1, c_2 \geq 0:$

$$ZK = c_1 \cdot \Pi_p^+ K +_p c_2 \cdot \Pi_p^- K$$

for every $K \in \mathcal{K}_0^n$.

- $h^p(\Pi_p^+ K, u) = \int_{\{v \in S^{n-1} : u \cdot v \geq 0\}} |u \cdot v|^p dS_p(K, v)$
- $\Pi_p^- K = -\Pi_p^+ K$
- $\Pi_p^+ K, \Pi_p^- K$ asymmetric L^p projection bodies of K
- $\Pi_p K = \frac{1}{2} \cdot \Pi_p^+ K +_p \frac{1}{2} \cdot \Pi_p^- K$

Asymmetric L^p Projection Inequality

$$Z_p K = c_1 \cdot \Pi_p^+ K +_p c_2 \cdot \Pi_p^- K$$

\implies

$$V(K)^{n-p} V(Z_p^* K)^p \leq V(B^n)^{n-p} V(Z_p^* B^n)^p$$

- equality precisely for ellipsoids centered at the origin
- $\implies L^p$ Petty Projection inequality
- Strongest inequalities for $\Pi_p^\pm K$
- Christoph Haberl & Franz Schuster (JDG, to appear)

Asymmetric L^p Affine Sobolev Inequality

$$\left(\int_{S^{n-1}} \|D_u^+ f\|_p^{-n} du \right)^{-1/n} \geq 2^{-1/p} \gamma_{n,p} \|f\|_q$$

- $D_u^+ f = \max\{D_u f, 0\}$
- equality for $f(x) = (a + |\phi(x - x_0)|^{p/(p-1)})^{1-n/p}$,
 $a > 0$, $x_0 \in \mathbb{R}^n$, $\phi \in \text{GL}(n)$
- \Rightarrow affine L^p Sobolev inequality
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- $p \geq n$: *Asymmetric Affine Pólya-Szegő Principles*
by Haberl, Schuster, Xiao (preprint)

Valuations on Sobolev Spaces

Theorem (L. 2009)

$z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}^n, + \rangle$ is a continuous, affinely contravariant valuation



$\exists c \geq 0$:

$$z(f) = c \Pi \langle f \rangle$$

for every $f \in W^{1,1}(\mathbb{R}^n)$.

- z is a valuation \iff
 $z(f \vee g) + z(f \wedge g) = z(f) + z(g)$ for all $f \in W^{1,1}(\mathbb{R}^n)$
- $f \vee g = \max\{f, g\}$ and $f \wedge g = \min\{f, g\}$

Valuations on Sobolev Spaces

Theorem (L. 2009)

$z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}^n, + \rangle$ is a continuous, affinely contravariant valuation



$\exists c \geq 0$:

$$z(f) = c \Pi \langle f \rangle$$

for every $f \in W^{1,1}(\mathbb{R}^n)$.

- z is a valuation \iff
 $z(f \vee g) + z(f \wedge g) = z(f) + z(g)$ for all $f \in W^{1,1}(\mathbb{R}^n)$
- $f \vee g = \max\{f, g\}$ and $f \wedge g = \min\{f, g\}$
- $h(\Pi \langle f \rangle, \nu) = \frac{1}{2} \int_{\mathbb{R}^n} |\nu \cdot \nabla f(x)| dx$

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- $V(\Pi^* \langle f \rangle) = \frac{2^n}{n} \int_{S^{n-1}} \|D_u f\|_1^{-n} du$
left hand side of the affine Sobolev inequality

Thank you !!!