

# Some Properties of Uncountable Linear Orders and their Automorphism Groups

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# Introduction

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## Theorem (Brouwer)

*For every natural number  $n \geq 1$  and every pair  $A, B \subseteq \mathbb{R}^n$  which are countable and dense there is a homeomorphism  $h : \mathbb{R}^n \cong \mathbb{R}^n$  so that  $h^*A = B$ .*

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- The goal of this talk is to introduce the case of study of such for Cantor’s theorem.
- The uncountable version of Cantor’s theorem is known as [Baumgartner’s Axiom](#) (BA) and is independent of ZFC.
- In the rest of the talk we will sketch the background on BA and its applications as well as some new work due to myself jointly with Marun and Shelah.

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- In fact there are many (in fact  $2^{2^{\aleph_0}}$ -many) pairwise non-isomorphic subsets  $A \subseteq \mathbb{R}$  of size  $2^{\aleph_0}$ .
- Also, consider linear orders with uncountable intersection in every open interval versus those that are uncountable in some bounded region but then countable outside of that.

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We will be interested in **separable** linear orders  $L$ , in which case  $L$  will be isomorphic to an  $\aleph_1$ -dense set of reals - i.e. one whose intersection with every non-empty open interval has size  $\aleph_1$ . In what follows we will reserve  $\aleph_1$ -dense for this type of linear order.

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Note that under BA every  $\aleph_1$ -dense set  $L$  must be **homogeneous**: for every  $a, b \in L$  there is an automorphism  $h : L \rightarrow L$  so that  $h(a) = b$ .

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Strengthening Baumgartner's result Avraham, Rubin and Shelah proved the following. First recall that given a distributive lattice  $K$  an **involution** is a map  $* : K \rightarrow K$  which is antimonotone and such that  $(x \vee y)^* = x^* \wedge y^*$ .

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*For any finite distributive lattice with involution,  $K$  it is consistent that the homogeneous  $\aleph_1$ -dense sets (up to isomorphsim) under embeddability (with  $\emptyset$ ) form a distributive lattice isomorphic to  $K$  with reversability the involution.*

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Here the **reverse** of a linear order  $L$  is formally the linear order  $L^*$  given by flipping the order. If  $L \subseteq \mathbb{R}$  then  $L^* \cong \{-a \mid a \in L\}$ . Under **Martin's Axiom** the homogeneous  $\aleph_1$ -dense sets form a distributive finite lattice so in some sense this theorem is best possible.

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- Recall that this states that for each partial order  $\mathbb{P}$  either has an uncountable antichain or else for each family of  $\aleph_1$  many maximal antichains there is a filter  $G \subseteq \mathbb{P}$  simultaneously intersecting them all.

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- The technicalities of this statement are not important here but note that it implies many of the consequences of BA we have seen. For instance  $\text{MA}_{\aleph_1}$  implies the failure of CH - apply  $\text{MA}_{\aleph_1}$  to the partial order of finite binary sequences under end extension.

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They actually give several proofs of this theorem. The first shows that  $\text{MA}_{\aleph_1}$  is consistent with an  $\aleph_1$ -dense order  $L$  which is called **essentially increasing**: if  $f : L \rightarrow L$  is a function with uncountable domain then it has an uncountable subset that is monotonically increasing. Note that this implies in particular that  $L$  is not isomorphic to its reverse  $L^* = \{-a \mid a \in L\}$ .

# Martin's Axiom

In fact they show a little more - call an  $\aleph_1$ -dense set  $L = \{a_\xi \mid \xi \in \omega_1\}$  **good** if for each  $n < \omega$  and each family of disjoint, increasing  $n$ -tuples  $\{\bar{b}_\xi \in [L]^n \mid \xi \in \omega_1\}$  there are  $\xi < \eta$  so that for all  $i < n$   $\bar{b}(i)_\xi < \bar{b}_\eta(i)$ . It is not hard to check that under CH there are good  $\aleph_1$ -dense sets.

**Lemma (Avraham-Shelah, '81)**

$\text{MA}_{\aleph_1}$  is consistent with a good set. If  $L$  is good and  $\text{MA}_{\aleph_1}$  holds then  $L$  is essentially increasing.

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Here  $L$  is slicewise coverable if given any partition of  $L$  into  $\omega_1$ -many countable dense sets  $\{L_\alpha \mid \alpha \in \omega_1\}$  there are countably many increasing functions  $f_n : L \rightarrow L$  so that  $\bigcup f_n = \bigcup_{\alpha \in \omega_1} L_\alpha \times L_\alpha$ .

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- The point here is that if  $L$  is slicewise coverable then it cannot be made isomorphic to its reverse by an  $\aleph_1$ -sized forcing which preserves  $\aleph_1$ , thus strengthening the conclusion of the original Avraham-Shelah theorem.

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# Homeomorphism Groups

BA can be reframed topologically as a statement about homeomorphism groups as follows:

For each  $A, B \subseteq \mathbb{R}$  which are  $\aleph_1$ -dense there is an autohomeomorphism  $h : \mathbb{R} \rightarrow \mathbb{R}$  so that  $h''A = B$ .

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The difficulty is in lifting the ambient structure, as the following theorem shows.

**Theorem (S.)**

*There is a perfect Polish space all of whose  $\aleph_1$ -dense subsets are homeomorphic if and only if all perfect Polish spaces have all their  $\aleph_1$ -dense subsets homeomorphic if and only if there is a unique separable, metrizable, zero dimensional  $\aleph_1$ -crowded space.*

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What is left open is the following very intriguing question.

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## Question

*Does  $\text{BA} (:= \text{BA}(\mathbb{R}))$  imply  $\text{BA}(\mathbb{R}^n)$  for any finite  $n > 1$ ?*

# THANK YOU!

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