## Distinguishing finite and infinite graphs

- with special emphasis on Cartesian products -

Wilfried Imrich<br>Chair of Applied Mathematics<br>Montanuniversität Leoben, Austria

Presentation of joint work with J. Jerebic, S. Klavžar, W. Klöckl and
V. Trofimov

Workshop on Discrete Mathematics
Vienna, Austria, November 19 -22, 2006

## Contents

1. The distinguishing number and finite hypercubes
2. Distinguishing the infinite hypercube
3. Distinguishing products of two complete graphs
4. A recursion for the distinguishing number of $K_{k} \square K_{n}$
5. Infinite trees and tree-like graphs
6. General countable graphs

## 1. The distinguishing number

$D(G)$ of a graph $G$ is the least natural number $d$ such that $G$ has a labeling with $D(G)$ labels that is not preserved by any nontrivial automorphism:

$$
D\left(P_{n}\right)=2 \text { for } n>1
$$


$D\left(P_{\infty}\right)=1$


$$
D\left(T_{2}\right)=2
$$

$$
D\left(K_{3} \square K_{2}\right)=2
$$



Proposition The automorphisms of a Cartesian product of prime graphs are induced by automorphisms of the factors and permutations of isomorphic factors.

The Cartesian product $G \square H$

$$
V(G \square H)=V(G) \times V(H),
$$

$E(G \square H)$ that is the set of all pairs [(u,v), (x,y)]
where either $u=x$ and $[v, y] \in E(H)$ or $[u, x] \in E(G)$ and $v=y$

Bogstad and Cowen, 2004, determined $D\left(Q_{k}\right)$ :
$D\left(Q_{2}\right)=D\left(Q_{3}\right)=3$; the figure shows $D\left(Q_{3}\right) \leq 3$

$D\left(Q_{k}\right)=2$ for $k \geq 4$


We needed $k+2$ black vertices for the distinguishing coloring. One can do with $k$ for $k \geq 7$ :


Thus, one needs fewer than $k$ black vertices to distinguish $Q_{k}$. How many suffice?

Let $B$ be a smallest set of distinguishing black vertices. Any automorphism that stabilizes it is the identity. Clearly $|B| \leq k$.

What is we look for a smallest set $S$ such that every automorphism $\alpha$ that fixes every element in $S$ is the identity. It is plausible that $S$ can be as small as $\log k$ for $Q_{k}$.

But, since $S$ is so small, we $d_{G}(u, v) \neq d_{G}(x, y)$ unless $\{u, v\}=\{x, y\}$. But then every automorphism that stabilizes $S$ also fixes every vertex, and thus is the identity.

Theorem (Debra Boutin) Let $B$ be a smallest set of black vertices that distinguishes $Q_{k}$. If $k \geq 5$, then

$$
\left\lceil\log _{2} k\right\rceil+1 \leq|B| \leq 2\left\lceil\log _{2} k\right\rceil-1
$$

Suppose $\alpha B=\beta B$.

Then $\beta^{-1} \alpha B=B$. Hence $\beta^{-1} \alpha=i d$ and $\alpha=\beta$.

This means, if we wish to check whether $\alpha$ and $\beta$ are the same, we have to check whether $\alpha B=\beta B$, where $B$ has size

$$
<2 \log _{2} k
$$

Boutin's proof uses a tedious construction. She also can probably prove that

$$
\left\lceil\log _{3}(2 k+1)\right\rceil+1 \leq|B| \leq 2\left\lceil\log _{3}(2 k+1)\right\rceil-1
$$

for $K_{3}^{k}$.

Can one prove a general theorem for $K_{n}^{k}$ by probabilistic methods?

## 2. Distinguishing the infinite hypercube

- mainly with Werner Klöckl -

The vertices of the infinite hypercube $Q_{\aleph_{0}}$ are the infinite 01-sequences; any two of them being adjacent if they differ in exactly one place.
$Q_{\aleph_{0}}$ is a component of the Cartesian product of $\aleph_{0}$ copies of $K_{2}$, the so-called weak Cartesian product.

Theorem $D\left(Q_{\aleph_{0}}\right)=2$.

Proof. Let $P$ be a one-sided infinite path that contains exactly one edge of every set of parallel edges of $Q_{\aleph_{0}}$. Color its vertices black and all others white. This is a distinguishing coloring.

Corollary Let $G$ be the weak Cartesian product of $\aleph_{0}$ complete graphs $K_{2}$ or $K_{3}$. Then $D(G)=2$.

Proof. A triangle is fixed if two of its vertices are fixed.
Choose the edges of $P$ such that it contains exactly one edge of every set of parallel edges for every factor $K_{2}$ and one edge of every set of parallel triangles ( $K_{3}$-fibers) for every factor $K_{3}$.

This construction also works for the Cartesian product of finitely many $K_{2}-\mathrm{s}$ and $K_{3}-\mathrm{s}$ if there is at least factor is a $K_{2}$ and one a $K_{3}$.

Then $P$ is a finite path.
We choose its first edge from a triangle and the last such that it is not in a triangle.

Theorem Let $G$ be the weak Cartesian product of countably many finite or countable prime (e.g. complete) graphs. Then $D(G)=2$.

Remark: To any two natural numbers $k, n$ one can always find $k$ finite complete graphs $K_{i}, 1 \leq i \leq k$ such that

$$
D\left(\prod_{1 \leq i \leq k}^{\square} K_{i}\right)>n .
$$

Up to now all graphs were countable. Now a result for an uncountable graph.

Theorem For any infinite cardinal $\mathfrak{n}$ the distinguishing number of $Q_{\mathfrak{n}}$ is 2 .

Proof by transfinite induction.
3. Distinguishing products of two complete graphs

- with Janja Jerebic and Sandi Klavžar -

$\mathbb{N}_{d}^{k}$ - set of vectors of length $k$ with integer entries between 1 and $d$ (Here $k=3$ and $d=2 ; D\left(K_{4} \square K_{2^{4}-4+1}\right)=2$.)

Let $\pi \in S_{k}$ and $\mathbf{v}=\left(v_{1}, \ldots, v_{k}\right) \in \mathbb{N}_{d}^{k}$. Set $\pi \mathbf{v}=\left(v_{\pi^{-1}(1)}, \ldots, v_{\pi^{-1}(k)}\right)$
We say $X=\left\{\mathbf{v}^{1}, \ldots, \mathbf{v}^{r}\right\}$ is column-invariant if $\exists \pi \in S_{k}$ such that

$$
\left\{\mathbf{v}^{1}, \ldots, \mathbf{v}^{r}\right\}=\left\{\pi \mathbf{v}^{1}, \ldots, \pi \mathbf{v}^{r}\right\}
$$

For example, the following vectors are column-invariant:


Lemma (Switching Lemma) Let $k, d \geq 2$ and $1 \leq r<d^{k}$. Then every set of $r$ vectors from $\mathbb{N}_{d}^{k}$ is column invariant
if and only if
every set of $d^{k}-r$ vectors from $\mathbb{N}_{d}^{k}$ is column invariant.
Theorem (Basic) Let $2 \leq d, k<n$ and $(d-1)^{k}<n \leq d^{k}-k+1$.
Then

$$
D\left(K_{k} \square K_{n}\right)=d
$$



Theorem (Bounding) Let $k, d \geq 2$ and $1 \leq r \leq k-2$. Then the following implications hold

$$
\begin{aligned}
D\left(K_{r} \square K_{k}\right) \geq d+1 & \Rightarrow D\left(K_{k} \square K_{d^{k}-r}\right)=d+1 \\
D\left(K_{r} \square K_{k}\right) \leq d & \Rightarrow D\left(K_{k} \square K_{d^{k}-r}\right)=d .
\end{aligned}
$$

Thus $\quad d \leq D\left(K_{k} \square K_{n}\right) \leq d+1 \quad$ for $\quad d=\left\lceil n^{1 / k}\right\rceil$.
4. A recursion for the distinguishing number of $K_{k} \square K_{n}$

- mainly with Janja Jerebic and Sandi Klavžar -

Distinguishing ( $k, n$ )
INPUT: Integers $k, n$ with $1 \leq k \leq n$
OUTPUT: $D\left(K_{k} \square K_{n}\right)$

1. $\quad d=\left\lfloor n^{1 / k}\right\rfloor+1$
2. if $(d-1)^{k} \leq n \leq d^{k}-k+1$
3. then $D\left(K_{k} \square K_{n}\right)=d$
4. else determine $D\left(K_{k} \square K_{n}\right)$ from $D\left(K_{d^{k}-n} \square K_{k}\right)$ by an application of the Bounding Theorem

## Analysis of the recursion

Step 3 returns the distinguishing number Step 4, is executed only if $d^{k}-k+1<n$. Since $d \geq 2$

$$
\begin{aligned}
2^{k}-k+1 & <n \\
2^{k} & <2 n \\
k-1 & <\log _{2} n
\end{aligned}
$$

Hence $d^{k}-n<k-1<\log _{2} n$.
We must thus consider $K_{k_{1}} \square K_{k}$, where $k_{1}=d^{k}-n<\log _{2} n$.
If Distinguishing $\left(k_{1}, k\right)$ also enters the recursive step, then with a call of Distinguishing $\left(k_{2}, k_{1}\right)$, where $k_{2}<\log _{2} k$.
Since $k_{i} \geq 1$ the number of recursive steps is bounded by the iterated logarithm $\log _{2}^{*} n$.
$\log _{2}^{*} 2=1, \log _{2}^{*} 4=2, \log _{2}^{*} 16=3, \log _{2}^{*} 65536=4, \log _{2}^{*}\left(2^{65536}\right)=5$.
Theorem (Finite $K_{k} \square K_{n}$ ) The distinguishing number $D\left(K_{k} \square K_{n}\right)$ of the product of two complete graphs $K_{k}$ and $K_{n}$, where $1 \leq k \leq n$, can be determined in $O\left(\mathrm{log}^{*} n\right)$ time.

Here any finite number $d$ is the distinguishing number of some product of complete graphs. In the infinite case we have:

Theorem (Infinite $K_{\mathfrak{n}} \square K_{\mathfrak{m}}$ ) For infinite cardinals $\mathfrak{n}$ we have:

$$
\begin{aligned}
& D\left(K_{\mathfrak{n}} \square K_{2^{\mathfrak{n}}}\right)=2 . \\
& \text { If } 2^{\mathfrak{n}}<\mathfrak{m}, \text { then } D\left(K_{\mathfrak{n}} \square K_{\mathfrak{m}}\right)>\mathfrak{n} .
\end{aligned}
$$

If the generalized continuum hypothesis does not hold, then there are cardinals

$$
\mathfrak{n} \text { and } \mathfrak{m}
$$

such that

$$
\mathfrak{n}<\mathfrak{m}<2^{\mathfrak{n}}
$$

We do not know whether $D\left(K_{\mathfrak{n}} \square K_{\mathfrak{m}}\right)=2$ in this case.

We only prove $D\left(K_{\aleph_{0}} \square K_{\aleph_{0}}\right)=2$.
To see this one simply labels as in the figure.


To show $D\left(K_{\mathfrak{n}} \square K_{\mathfrak{n}}\right)=2$ for arbitrary $\mathfrak{n}$ one well-orders the vertices of the factors and proceeds by transfinite induction.


For $D\left(K_{\mathfrak{n}} \square K_{\mathfrak{m}}\right)$ the Switching Lemma is needed.

## 5. Infinite trees and tree-like graphs

 - with Sandi Klavžar and Vladimir Trofimov -Theorem The distinguishing number of the homogeneous tree $T_{\mathfrak{n}}$ of finite or infinite degree $\mathfrak{n}$ is 2.

Proof for $\mathfrak{n}=4$


## Proof for $\mathfrak{n}=\aleph_{0}$



Theorem Let $\Gamma$ be a connected graph with $d(v) \leq 2^{\aleph_{0}} \quad \forall v \in V(\Gamma)$. Suppose there is a vertex $x$ in $\Gamma$ with the following property:
$\forall y \in V(\Gamma) \exists z \in V(\Gamma)$ such that $\{y\}=\Gamma(z) \cap B_{x}(d(x, z)-1)$.
Then $D(\Gamma) \leq 2$.


## 6. General countable graphs

- with Sandi Klavžar and Vladimir Trofimov -

Theorem Let $G$ be a connected, infinite graph with largest degree $\Delta(G)<\infty$. Then $D(G) \leq \Delta(G)$.

In the finite case the bound is $\Delta(G)+1$.

Theorem The distinguishing number of the random graph is 2.

Property of the random graph $R$ : For any finite disjoint subsets $X$ and $Y$ of $V(R)$, there are infinitely many vertices $z$ of $R$ such that

$$
\begin{gathered}
z x \in E(R) \text { for all } x \in X \text { and } \\
z y \notin E(R) \text { for all } y \in Y .
\end{gathered}
$$

7. Appendix - Exact formulas and examples for finite graphs

- with Janja Jerebic and Sandi Klavžar -

Theorem (Basis for explicit results) Let $k, d \geq 2,1 \leq r \leq k-2$. Then $D\left(K_{k} \square K_{d^{k}-r}\right)=d+1$ if and only if every set consisting of $r$ vectors from $\mathbb{N}_{d}^{k}$ is column-invariant.

Proof. If every set of $r$ vectors from $\mathbb{N}_{d}^{k}$ is column-invariant, then $D\left(K_{k} \square K_{r}\right) \geq d+1$, and thus $D\left(K_{k} \square K_{d^{k}-r}\right)=d+1$ by (i) of the Bounding Theorem.

If there is a set of $r$ vectors from $\mathbb{N}_{d}^{k}$ that is not column-invariant, then $D\left(K_{k} \square K_{r}\right) \leq d$, and thus $D\left(K_{k} \square K_{d^{k}-r}\right) \neq d+1$
by (ii) of the Bounding Theorem.

Proposition 4.2 Let $d \geq 2,3 \leq k \leq d$. Then

$$
D\left(K_{k} \square K_{d^{k}-1}\right)=d .
$$

Proposition 4.3 Let $k, d \geq 2$ and $0 \leq r<\log _{d} k$. Then

$$
D\left(K_{k} \square K_{d^{k}-r}\right)=d+1 .
$$

Proposition 4.4 Let $d, r \geq 2$ and $r+2 \leq k \leq d^{r}-r+1$. Then

$$
D\left(K_{k} \square K_{d^{k}-r}\right)=d .
$$

Proposition 4.5 Let $d, r \geq 2$ and $d^{r}-\log _{d} r<k \leq d^{r}$. Then

$$
D\left(K_{k} \square K_{d^{k}-r}\right)=d+1 .
$$

The rare case when the recursion applies (white field) for $2^{k}<n \leq 3^{k}$

(Theorem 3.3 is the Basic Theorem)

## Summary for $D\left(K_{k} \square K_{n}\right)$

$$
D\left(K_{k} \square K_{n}\right) \text { satisfies the inequality }
$$

$$
\begin{gathered}
\qquad d \leq D\left(K_{k} \square K_{n}\right) \leq d+1 \\
\text { where } d=\left\lceil n^{1 / k}\right\rceil \text { and } 1 \leq k \leq n
\end{gathered}
$$

It can be determined explicitly unless

$$
d^{r}-r+2 \leq k \leq d^{r}-\log _{d} r
$$

Then it can be computed by at most $\log _{2}^{*} n$ calls of a recursion.

Can one replace the recursion by an explicit formula?

