

Mutual behaviour of irrationality measure functions

by **Nikolay Moshchevitin**

Austrian-Russian online workshop

11 - 12 July 2022

Irrationality measure function

α - real irrational, $\| \cdot \|$ - distance to the nearest integer

$$\psi_\alpha(t) = \min_{1 \leq q \leq t, q \in \mathbb{Z}} \|q\alpha\|, \quad t \geq 1$$

continued fractions ([Lagrange Theorem](#)):

$$\alpha = [a_0; a_1, a_2, \dots, a_n, \dots], \quad \frac{p_n}{q_n} = [a_0; a_1, a_2, \dots, a_n], \quad \xi_n = |q_n\alpha - p_n|$$

$$\psi_\alpha(t) = \xi_n \text{ for } q_n \leq t < q_{n+1}.$$

for any t we have

$$\psi_\alpha(t) < t^{-1}$$

Irrationality measure functions for two numbers

Theorem (I.D. Kan + N.M., Unif. Distr. Th. 5:2 (2010))

Suppose $\alpha, \beta \in \mathbb{R} \setminus \mathbb{Q}$ such that $\alpha \pm \beta \notin \mathbb{Z}$. Then the difference function

$$\psi_\alpha(t) - \psi_\beta(t)$$

changes its sign infinitely many times as $t \rightarrow +\infty$

- ▶ Informal question: Is there other objects that behave themselves in a similar way?
- ▶ variations on multi-dimensional irrationality measure functions
- ▶ variations on one-dimensional irrationality measure functions
- ▶ many irrationality measure functions

Singular matrices: Khintchine-Jarník's theory, $m + n \geq 3$

$$\Theta = \begin{pmatrix} \theta_1^1 & \cdots & \theta_1^m \\ \cdots & \cdots & \cdots \\ \theta_n^1 & \cdots & \theta_n^m \end{pmatrix} \mapsto \psi_{\Theta}(t)$$

Theorem (V. Jarník, 1959) *Suppose that $\psi(t)$ satisfies*

1. $n \geq 1$ and $m \geq 2$; $\psi(t) \downarrow 0$ as $t \rightarrow +\infty$.
2. $n \geq 1$ and $m = 1$; $\psi(t) \downarrow 0$ as $t \rightarrow +\infty$ and $\psi(t)t \uparrow +\infty$.

Then there exists a matrix Θ such that

- numbers θ_j^i are algebraically independent,
- one has $\psi_{\Theta}(t) \leq \psi(t) \quad \forall t$.

Multidimensional irrationality measure functions: singularity

$$\Theta \mapsto \psi_{\Theta}(t)$$

General Proposition

Suppose that $m + n \geq 3$. Then for any Θ
with $\psi_{\Theta}(t) > 0 \forall t$
there exists Θ_1 with

$$\psi_{\Theta}(t) > \psi_{\Theta_1}(t) \text{ for all } t \text{ large enough}$$

About badly approximability

badly approximability: $\exists C > 0 \exists \Theta$ s.t. $\psi_{\Theta}(t) > Ct^{-\frac{m}{n}} \forall t > 1$

Example $m = 1, n = 2, \Theta = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}$. Dirichlet spectrum

$$\mathbb{D} = \{d : \exists(\alpha_1, \alpha_2) \text{ such that } d = \limsup_{t \rightarrow \infty} t^{\frac{1}{2}} \cdot \psi_{\Theta}(t)\}$$

$\mathbb{D}^{bad} = \{d : \exists(\alpha_1, \alpha_2)$ - **badly approximable** such that

$$\text{with } d = \limsup_{t \rightarrow \infty} t^{\frac{1}{2}} \cdot \psi_{\Theta}(t)\}$$

$$\psi_{\Theta}^e(t) = \min_{q \leq t} \sqrt{\|q\alpha_1\|^2 + \|q\alpha_2\|^2}$$

Theorem (Akhunzhanov+M. 2021)

For Euclidean norm $\mathbb{D} = \left[0, \sqrt{2/\sqrt{3}}\right]$ is closure of \mathbb{D}^{bad}

Remark. It is likely that a similar statement is true for any $m + n \geq 3$ and for any norm. (c.f. Schleisitz arXiv:2202.04951, 2022)

Multidimensional irrationality measure functions: badly approximability

badly approximability:

$$\exists C > 0 \exists \Theta = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix} \text{ such that } \psi_{\Theta}(t) > Ct^{-\frac{1}{2}} \quad \forall t > 1$$

Proposition

Suppose that $m = 1, n = 2$. Then for any s *badly approximable* Θ there exists *badly approximable* Θ_1 with

$$\psi_{\Theta}(t) > \psi_{\Theta_1}(t) \quad \text{for all } t \text{ large enough}$$

One-dimensional settings: Minkowski function

Those denominators of convergent for which $\left| \alpha - \frac{p_n}{q_n} \right| < \frac{1}{2q_n^2}$:

$$Q_1, Q_2, \dots, Q_n, \dots$$

$$\mu_\alpha(t) = \frac{Q_{n+1} - t}{Q_{n+1} - Q_n} \cdot \|Q_n \alpha\| + \frac{t - Q_n}{Q_{n+1} - Q_n} \cdot \|Q_{n+1} \alpha\|, \quad Q_n \leq t \leq Q_{n+1}$$

Minkowski: $\mu_\alpha(t)$ is convex.

Example. $\mu_{\sqrt{2}}(t) < \mu_{\frac{1+\sqrt{5}}{2}}(t), \quad \forall t > 0$

One-dimensional settings: Second order approximation functions.

$$\psi_{\alpha}^{[2]}(t) = \min_{\substack{1 \leq q \leq t, q \in \mathbb{Z} \\ q \neq q_n \forall n = 1, 2, 3, \dots}} \|q\alpha\|$$

$$\psi_{\alpha}^{[2]*}(t) = \min_{\substack{(q, p) : q, p \in \mathbb{Z}, 1 \leq q \leq t, \\ p/q \neq p_n/q_n \forall n = 1, 2, 3, \dots}} |q\alpha - p|$$

$$\psi_{\alpha}(t) < \psi_{\alpha}^{[2]}(t) \leq \psi_{\alpha}^{[2]*}(t)$$

Proposition *Differences*

$$\psi_{\alpha}^{[2]}(t) - \psi_{\beta}^{[2]}(t) \quad \text{and} \quad \psi_{\alpha}^{[2]*}(t) - \psi_{\beta}^{[2]*}(t)$$

may not oscillate

Supplement to Gayfulin's lecture: \mathbb{L}_2^* spectrum

$$\mathfrak{k}^*(\alpha) = \liminf_{t \rightarrow \infty} t \cdot \psi_\alpha^{[2]^*}(t)$$

$$\mathbb{L}_2^* = \{\lambda : \exists \alpha \text{ such that } \lambda = \mathfrak{k}^*(\alpha)\}$$

Theorem.

1. \mathbb{L}_2^* is $\sqrt{5} = \text{maximal element of } \mathbb{L}_2^*$,
 $\mathfrak{k}^*(\alpha) = \sqrt{5}$ iff $\alpha \sim \frac{1+\sqrt{5}}{2}$.
2. If $\alpha \not\sim \frac{1+\sqrt{5}}{2}$ then $\mathfrak{k}^*(\alpha) \leq \frac{3}{2}$, so $(\frac{3}{2}, \sqrt{5})$ is a gap in \mathbb{L}_2^* .
3. For $e = \sum_{k=0}^{\infty} \frac{1}{k!}$ one has $\mathfrak{k}^*(e) = \frac{3}{2}$.
4. $\frac{3}{2}$ is a limit point for \mathbb{L}_2^* .
5. \mathbb{L}_2^* is $\frac{1}{2}$ is the minimal element of \mathbb{L}_2^* .
6. $[\frac{1}{2}, \frac{2}{3}] \subset \mathbb{L}_2^*$.

A paper by Dubickas JNT 177 (2017)

Theorem 1 *Under the condition $\alpha \pm \beta \notin \mathbb{Z}$ one has*

$$\limsup_{t \rightarrow +\infty} \left| \frac{1}{\psi_\alpha(t)} - \frac{1}{\psi_\beta(t)} \right| = +\infty.$$

Theorem 2 *There exist irrational α, β with $\alpha \pm \beta \notin \mathbb{Z}$ and*

$$\psi_\alpha(n+1) < \psi_\beta(n) \quad \forall n \geq 2.$$

After Dubickas 1

Theorem (N.M., Arch. Math. 2019)

Under the condition $\alpha \pm \beta \notin \mathbb{Z} \quad \exists t_\nu \rightarrow \infty$ such that

$$|\psi_\alpha(t_\nu) - \psi_\beta(t_\nu)| \geq K \cdot \min(\psi_\alpha(t_\nu), \psi_\beta(t_\nu)), \quad K = \sqrt{\frac{\sqrt{5} + 1}{2}} - 1.$$

Moreover the constant K here is optimal.

As $\psi_\alpha(t) < 1/t$ we have

Corollary *Under the conditions of Th. 1 one has*

$$\left| \frac{1}{\psi_\alpha(t_\nu)} - \frac{1}{\psi_\beta(t_\nu)} \right| > K \cdot t_\nu \text{ for certain } t_\nu \rightarrow \infty.$$

After Dubickas 2

Corollary *Under the condition $\alpha \pm \beta \notin \mathbb{Z}$ one has*

$$\left| \frac{1}{\psi_\alpha(t_\nu)} - \frac{1}{\psi_\beta(t_\nu)} \right| > K \cdot t_\nu \quad \text{for certain } t_\nu \rightarrow \infty$$

with $K = \sqrt{\frac{\sqrt{5}+1}{2}} - 1 = 0.2720^+$

Theorem (N. Shulga, MJCNT 2022)

Under the condition specified one has

$$\left| \frac{1}{\psi_\alpha(t_\nu)} - \frac{1}{\psi_\beta(t_\nu)} \right| > K_1 \cdot t_\nu \quad \text{for certain } t_\nu \rightarrow \infty$$

with $K_1 = \sqrt{5} \left(1 - \sqrt{\frac{\sqrt{5}-1}{2}} \right) = 0.47818^+$

Moreover the constant K_1 here is optimal

Simultaneous approximation revisited

$$\Theta = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}, \quad \psi_{\Theta}^e(t) = \min_{q \leq t} \sqrt{\|q\alpha_1\|^2 + \|q\alpha_2\|^2}$$

Theorem (N.M., 202?)

$\omega(t) \uparrow, \omega(0) = 0 \quad \exists \Theta = (\alpha_1, \alpha_2)$ and $\Theta_1 = (\beta_1, \beta_2)$ such that:

1. $1, \alpha_1, \alpha_2, \beta_1, \beta_2$ are algebraic independent.
2. for every t one has

$$|\psi_{\Theta}(t) - \psi_{\Theta_1}(t)| \leq \psi_{\Theta}(t)\omega(\psi_{\Theta}(t)).$$

\mathfrak{k} -index: definition

$\alpha, \beta \in \mathbb{R}$ *incommensurable* if $\psi_\alpha(t) \neq \psi_\beta(t)$ for all large enough t .
For n -tuple $\alpha = (\alpha_1, \dots, \alpha_n)$ of pairwise incommensurable numbers consider permutation

$$\sigma(t) : \{1, 2, 3, \dots, n\} \mapsto \{\sigma_1, \sigma_2, \sigma_3, \dots, \sigma_n\}$$

with $\psi_{\alpha_{\sigma_1}}(t) > \psi_{\alpha_{\sigma_2}}(t) > \psi_{\alpha_{\sigma_3}}(t) > \dots > \psi_{\alpha_{\sigma_n}}(t)$

\mathfrak{k} -index $\mathfrak{k}(\alpha) = \mathfrak{k}(\alpha_1, \dots, \alpha_n)$ is defined by

$$\mathfrak{k}(\alpha) = \max\{k : \text{there exist different permutations } \sigma_1, \dots, \sigma_k$$

with the following property : $\forall j \forall t_0 > 0 \exists t > t_0$ such that $\sigma(t) = \sigma_j\}$

Kan-Moshchevitin [Theorem](#) states that $\mathfrak{k}(\alpha_1, \alpha_2) = 2$ if $\alpha_1 \pm \alpha_2 \notin \mathbb{Z}$

From [Dubickas' approach](#) it follows that there exists a
incommensurable n -tuple $(\alpha_1, \dots, \alpha_n)$ with $\mathfrak{k}(\alpha_1, \dots, \alpha_n) = n$

ℓ- index results: Manturov-M. arXiv:2108.08778 (2021)

Theorem 1 For an n -tuple $\alpha = (\alpha_1, \dots, \alpha_n)$ of pairwise incommensurable numbers one has

$$\ell(\alpha) \geq \sqrt{\frac{n}{2}}$$

Theorem 2 Let $k \geq 3$ and $n = \frac{k(k+1)}{2}$. Then there exists a pairwise incommensurable n -tuple α with

$$\ell(\alpha) = k$$

ℓ- index results: V. Rudykh, arXiv:2204.05769 (2022)

Theorem 2 *Let $k \geq 3$ and $n = \frac{k(k+1)}{2}$. Then there exists a pairwise incommensurable n -tuple α with*

$$\ell(\alpha) = k$$

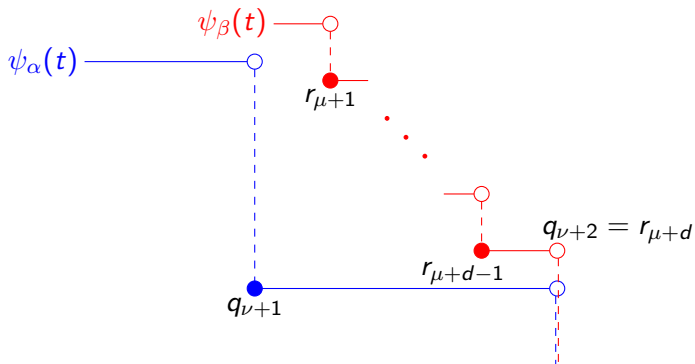
Theorem 1' (Viktoria Rudykh, April 2022)

The size of an n -tuple $\alpha = (\alpha_1, \dots, \alpha_n)$ of pairwise independent numbers with $\ell(\alpha) = k$ is

$$n \leq \frac{k(k+1)}{2}$$

Main lemma

this never happens infinitely often for $\alpha \pm \beta \in \mathbb{Z}$:



Main lemma

$$\alpha = [a_0; a_1, a_2, \dots], \quad \frac{p_\nu}{q_\nu} = [a_0; a_1, a_2, \dots, a_\nu]$$

$$\alpha_\nu^* = [0; a_\nu, a_{\nu-1}, \dots, a_1], \quad \xi_\nu = |q_\nu \alpha - p_\nu|$$

$$\beta = [b_0; b_1, b_2, \dots], \quad \frac{s_\mu}{r_\mu} = [b_0; b_1, b_2, \dots, b_\mu]$$

$$\beta_\mu^* = [0; b_\mu, b_{\mu-1}, \dots, b_1], \quad \eta_\mu = |r_\mu \beta - s_\mu|$$

Lemma *Let $d \geq 1$. Suppose that $q_{\nu+2} = r_{\mu+d}$ and*

$$\xi_\nu \leq \eta_\mu,$$

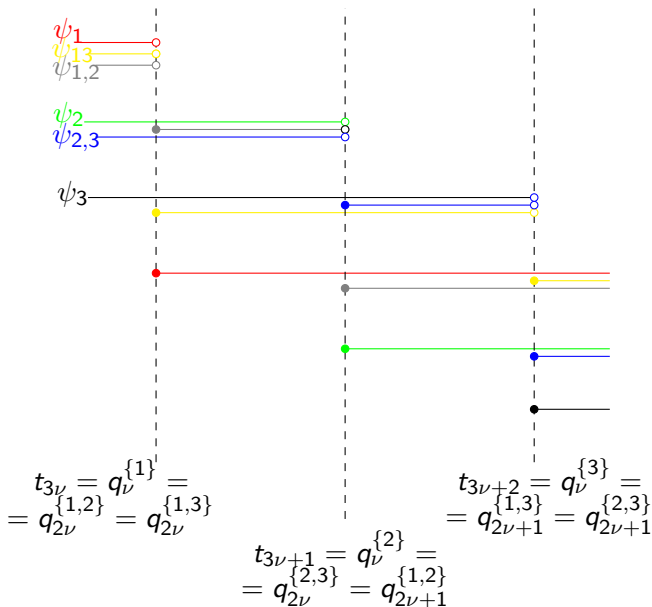
$$\xi_{\nu+1} \leq \eta_{\mu+d-1},$$

$$q_{\nu+1} \leq r_{\mu+1}$$

Then everywhere we have equalities and

$$d = 2 \quad \text{und} \quad \alpha_{\nu+2}^* = \beta_{\mu+2}^*$$

Example $k = 3, n = 6$



Thank you for your attention!